# Permutations in Coinductive Graph Representation

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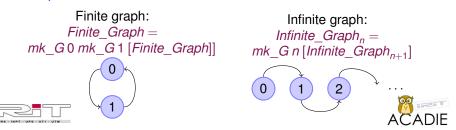




## The Problem A first representation

Context: certified model transformations (Coq)

Aim: representing metamodels as graphs and graphs using coinductive types (to directly represent navigability in loops) First attempt: coinductive constructor (for coinductive rose trees):  $mk_G: T \rightarrow list (Graph T) \rightarrow Graph T$ Examples:



## The Problem Guard condition

### An example

We would like to define the function (with *f* of type  $T \rightarrow T'$ ):

applyF2G f  $(mk_G t I) = mk_G (f t) (map (applyF2G f) I)$ 

but... forbidden !

#### Explanation: Coq's guard condition

Objective: ensure that we can get **more information** on the structure in a **finite amount of time** (**productivity** rule). Restrictive solution offered by Coq: a **corecursive call** must always be a **constructor argument**.

#### Why is it a problem?

The definition above actually is semantically correct!

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GegPerm

Conclusions

# The Solution: *ilist* – the container view of lists *ilist* implementation

Implementation using **functions** to represent lists

The function : *ilistn* (T : Set) (n : nat) = Fin  $n \rightarrow T$ 

The *ilist* : *ilist*  $(T : Set) = \Sigma(n : nat)$ .*ilistn* T n

Lemma : There is a bijection between *ilist* and *list*.

#### An equivalence on *ilist*

 $\forall l_1 \ l_2 : ilist \ T, ilist\_rel_R \ l_1 \ l_2 \Leftrightarrow$  $\exists h : lg \ l_1 = lg \ l_2 \to (\forall i : Fin (lg \ l_1), R (fct \ l_1 \ i) (fct \ l_2 \ i'_h)) where lg and fct are projections on ilist, R is a relation on T and i'_h is i, converted from type Fin (lg \ l_1) to type Fin (lg \ l_2)$ 

#### Tools

Replacement for map: *imap*  $f I = \langle Ig I, f \circ (fct I) \rangle$ 

GegPerm

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## New Graph Representation Definition of Graph

Graph (coinductive definition)

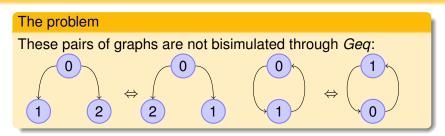
**Graph** :  $mk\_G : T \rightarrow ilist(Graph T) \rightarrow Graph T$ 

applyF2G (corecursive definition) applyF2G with  $f : T \rightarrow T'$ : applyF2G  $f (mk_G t I) = mk_G (f t) (imap (applyF2G f) I)$ 

### Equivalence on Graph (coinductively defined relation)

*Geq* generic coinductive notion of bisimilarity on *Graph*  $\forall g_1 \ g_2 : Graph \ T, \ Geq_R \ g_1 \ g_2 \Leftrightarrow$ *R* (*label*  $g_1$ ) (*label*  $g_2$ )  $\land$  *ilist\_rel*<sub>*Geq*<sub>R</sub></sub> (*sons*  $g_1$ ) (*sons*  $g_2$ ) where *label* and *sons* are the projections on *Graph* 

# Need for a more Liberal Relation on Graph



### Solution

- Define a new equivalence relation on *ilist* for permutations
- Define a new equivalence relation on *Graph* using the previous equivalence on *ilist* and taking into account rotations





## Capturing Permutations on *ilist* Inductive definition of permutations on *ilist* (*iperm* and *iperm*' in the paper)

 $\forall l_1 \ l_2, \ iperm_R \ l_1 \ l_2$   $\Rightarrow \begin{cases} lg \ l_1 = lg \ l_2 = 0 & \text{or} \\ \exists i_1 \ i_2, R \ (fct \ l_1 \ i_1) \ (fct \ l_2 \ i_2) \land \\ iperm_R \ (remEl \ l_1 \ i_1) \ (remEl \ l_2 \ i_2) \end{cases}$   $\Rightarrow \begin{cases} lg \ l_1 = lg \ l_2 \land \ (\forall i_1 \exists i_2, R \ (fct \ l_1 \ i_1) \ (fct \ l_2 \ i_2) \\ \land \ iperm_R \ (remEl \ l_1 \ i_1) \ (remEl \ l_2 \ i_2) \end{cases}$ 

where *remEl I i* removes the *i*<sup>th</sup> element of *I*.

The proof of equivalence is not straightforward since one definition can be seen as a particular case of the other.

Usefulness of having two definitions: some properties easier to prove on one than on the other and vice versa.

# Capturing Permutations on *ilist*

Definition using bijective functions and comparison between definitions

#### Definition of *ipermb*

Idea : use a bijective function to define *ipermb* in the same style as ilist rel.  $\forall f g$ , bij  $f g \Leftrightarrow (\forall t, g(f t) = t) \land (\forall u, f(g u) = u)$  $\forall l_1 \ l_2, ipermb_R \ l_1 \ l_2 \Leftrightarrow \exists f \ g, bij \ f \ g \land (\forall i, R \ (fct \ l_1 \ i) \ (fct \ l_2 \ (f \ i)))$ 

#### Equivalence between definitions

- We can show that  $\forall l_1 \ l_2$ , iperm<sub>B</sub>  $l_1 \ l_2 \Leftrightarrow iperm_B \ l_1 \ l_2$
- Permutations on lists by Contejean equivalent to ours

#### Comparison between definitions

*iperm* (specially first def.) captures better the intuition than ipermb but is inductive. Contejean's definition is on lists. We prefer a definition on *ilist*  $\Rightarrow$  our choice is *iperm* (first variant)

## A Relation on *Graph* Using *iperm* An unsuccessful attempt

Definition of *GPerm* (coinductive)

 $\forall g_1 g_2, GPerm_R g_1 g_2 \Leftrightarrow R (label g_1) (label g_2) \land iperm_{GPerm_R} (sons g_1) (sons g_2)$ 



Lemma:  $\forall R, R \text{ reflexive} \Rightarrow \forall g, GPerm_R g g$ Proof (by coinduction): We must prove that  $R (label g) (label g) \land iperm_{GPerm_R} (sons g) (sons g)$ ok has to be inductive





An impredicative definition — the type-theoretic way of getting a final coalgebra

The impredicative definition: implementation of  $GPerm_R g_1 g_2$ 

$$\exists \mathcal{R}, \ \left(\forall \ g_1' \ g_2', \ \mathcal{R} \ g_1' \ g_2' \Rightarrow R \ (label \ g_1') \ (label \ g_2') \ \land \right.$$

 $i\!perm_{\mathcal{R}}\left( \mathit{sons}\ g_{1}^{\prime}
ight)\left( \mathit{sons}\ g_{2}^{\prime}
ight)
ight) \,\wedge\,\, \mathcal{R}\left( g_{1}^{\prime}\left( g_{2}^{\prime}
ight) 
ight)$ 

where variable  $\mathcal{R}$  ranges over relations on Graph T

#### Tools and definitions

Coinduction principle:

 $(\forall g_1 \ g_2, \ \mathcal{R} \ g_1 \ g_2 \Rightarrow R \ (label \ g_1) \ (label \ g_2) \land$ 

 $\begin{array}{l} \textit{iperm}_{\mathcal{R}} (\textit{sons } g_1) (\textit{sons } g_2) \end{pmatrix} \Rightarrow \ \mathcal{R} \subseteq \textit{GPerm}_{R} \\ \textbf{Unfolding principle:} \ \forall \ g_1 \ g_2, \ \textit{GPerm}_{R} \ g_1 \ g_2 \Rightarrow \\ \textit{R} (\textit{label } g_1) (\textit{label } g_2) \land \textit{iperm}_{\textit{GPerm}_{R}} (\textit{sons } g_1) (\textit{sons } g_2) \\ \textbf{Constructor:} \ \forall \ g_1 \ g_2, \ \textit{R} (\textit{label } g_1) (\textit{label } g_2) \land \\ \textit{iperm}_{\textit{GPerm}_{R}} (\textit{sons } g_1) (\textit{sons } g_2) \Rightarrow \textit{GPerm}_{R} \ g_1 \ g_2 \end{array}$ 

### A Relation On Graph Using iperm Mendler-style definition — inspired by work of Keiko Nakata and Tarmo Uustalu

#### **Definition** (coinductive)

 $\forall g_1 g_2, GPermMendler_R g_1 g_2 \Leftrightarrow \forall \mathcal{R}, \mathcal{R} \subseteq GPermMendler_R \land R (label g_1) (label g_2) \land iperm_{\mathcal{R}} (sons g_1) (sons g_2)$ 

#### Properties

- Natively properly supported by Coq since only  $\mathcal{R}$  enters the inductive predicate and not the relation *GPermMendler*<sub>R</sub>
- Equivalent to GPerm (the impredicative implementation)
- Preserves equivalence without Coq problems

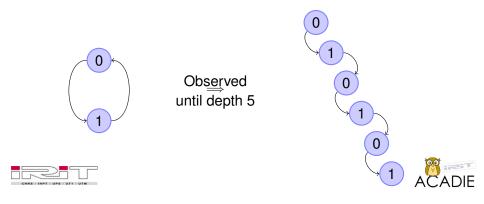




### A Relation On *Graph* Using *iperm* An equivalent approach based on observation - The idea

Using inductive trees to observe coinductive graphs until a certain depth.

 $\Rightarrow$  no more mixing of inductive and coinductive types



### A Relation On *Graph* Using *iperm* An equivalent approach based on observation of "rose trees" - Definitions

*iTree* (inductive): *mk iTree* :  $T \rightarrow ilist$  (*iTree* T)  $\rightarrow iTree$  T**TPerm** (inductive):  $\forall t_1 t_2$ , **TPerm**<sub>B</sub>  $t_1 t_2 \Leftrightarrow$ R (labelT  $t_1$ ) (labelT  $t_2$ )  $\land$  iperm<sub>TPerm<sub>P</sub></sub> (sonsT  $t_1$ ) (sonsT  $t_2$ ) G2iT:  $G2iT: \forall T, nat \rightarrow Graph T \rightarrow iTree T$ G2iT T 0 g := mk iTree (label g) G2iT T (n+1) (mk G t I) := mk iTree t (imap (G2iT n) I) $\equiv_{R,n}$ :  $\forall n g_1 g_2, g_1 \equiv_{R,n} g_2 \Leftrightarrow TPerm_R (G2iT n g_1) (G2iT n g_2)$ **GTPerm**:  $\forall g_1 g_2, (GTPerm_B g_1 g_2 \Leftrightarrow \forall n, g_1 \equiv_{B,n} g_2)$ 

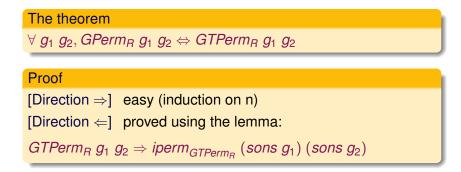




GegPerm

Conclusions

#### A Relation On *Graph* Using *iperm* An equivalent approach based on observation - Main theorem(1/2)







An equivalent approach based on observation - Main theorem (2/2)

The theorem

 $\forall \ g_1 \ g_2, GPerm_R \ g_1 \ g_2 \Leftrightarrow GTPerm_R \ g_1 \ g_2$ 

The auxiliary lemma

 $GTPerm_R g_1 g_2 \Rightarrow iperm_{GTPerm_R} (sons g_1) (sons g_2)$ 

#### Proof of the lemma

Main problem: problem of continuity. The unfolding gives:  $(\forall n, g_1 \equiv_{R,n} g_2) \Rightarrow iperm_{\cap_n \equiv_{R,n}} (sons g_1) (sons g_2)$  $\Rightarrow$  we need to "fix" a permutation that works for all *n*.

An equivalent approach based on observation - Main theorem (2/2)

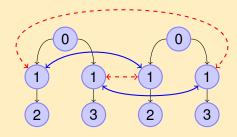
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#### Proof of the lemma

⇒ use of infinite pigeonhole principle Need to manipulate permutations ⇒ "certificates":  $skel_type 0 := unit$  $skel_type (n+1) := (Fin (n+1) \times Fin (n+1)) \times skel_type n$ 

An equivalent approach based on observation - Main theorem (2/2)

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### Proof of the lemma

And we "include" them in *iperm*:  $\forall l_1 \ l_2 \ H_{lgti} \ s, \ iperm\_skel_R \ l_1 \ l_2 \ H_{lgti} \ s \Leftrightarrow$   $\begin{cases} lg \ l_1 = 0 & \text{or} \\ \exists \ i_1 \ i_2 \ s', R \ (fct \ l_1 \ i_1) \ (fct \ l_2 \ i_2) \ \land ``s = ((i_1, i_2), s')'' \ \land \\ iperm\_skel_R \ (remEl \ l_1 \ i_1) \ (remEl \ l_2 \ i_2) \ H'_{lgti} \ s' \end{cases}$ 

(equivalent to iperm) / notion of continuity

An equivalent approach based on observation - Main theorem (2/2)

The theorem

 $\forall \ g_1 \ g_2, GPerm_R \ g_1 \ g_2 \Leftrightarrow GTPerm_R \ g_1 \ g_2$ 

The auxiliary lemma

 $GTPerm_R g_1 g_2 \Rightarrow iperm_{GTPerm_R} (sons g_1) (sons g_2)$ 

#### Proof of the lemma

We first get:  $\forall n \exists s : skel_type (lg (sons g_1)),$  $iperm\_skel_{\equiv_{R,n}} (sons g_1) (sons g_2) H_{lg} s$ 

The version of the infinite pigeonhole principle we want to use:  $\forall m \forall P : \mathbb{N} \rightarrow skel\_type \ m \rightarrow Prop,$   $(\forall n \exists s : skel\_type \ m, P \ n \ s) \rightarrow$  $\exists s_0 : skel\_type \ m, (\forall n \exists n', n' \ge n \land P \ n' \ s_0)$ 

An equivalent approach based on observation - Main theorem (2/2)

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 $\forall \ g_1 \ g_2, GPerm_R \ g_1 \ g_2 \Leftrightarrow GTPerm_R \ g_1 \ g_2$ 

The auxiliary lemma

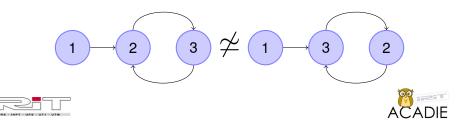
 $GTPerm_R g_1 g_2 \Rightarrow iperm_{GTPerm_R} (sons g_1) (sons g_2)$ 

#### Proof of the lemma

Using *iperm* equivalent to *iperm\_skel*, goal becomes: *iperm\_skel*<sub>GTPerm<sub>R</sub></sub> (sons g<sub>1</sub>) (sons g<sub>2</sub>) H<sub>lg</sub> s<sub>0</sub> Continuity:  $\forall n$ , *iperm\_skel*<sub> $\equiv_{R,n}$ </sub> (sons g<sub>1</sub>) (sons g<sub>2</sub>) H<sub>lg</sub> s<sub>0</sub> Using what the infinite pigeon hole principle says about s<sub>0</sub>:  $\forall n \exists n', n' \geq n \land iperm_skel_{\equiv_{R,n'}}$  (sons g<sub>1</sub>) (sons g<sub>2</sub>) H<sub>lg</sub> s<sub>0</sub>  $\equiv_{R,n'} \subset \equiv_{R,n} \Rightarrow \forall n$ , *iperm\_skel*<sub> $\equiv_{R,n'}$ </sub> (sons g<sub>1</sub>) (sons g<sub>2</sub>) H<sub>lg</sub> s<sub>0</sub>

# The Final Relation Over Graph

- Change in the "point of view" for the observation of the graph
- Single-rooted graph  $\Rightarrow$  path from the root to all nodes
- Change in the root  $\Rightarrow$  both roots in the same cycle  $\Rightarrow$  $g_1 \subset g_2 \land g_2 \subset g_1$
- Only for a "general" view:



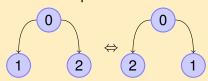
# The Final Relation Over Graph Definitions

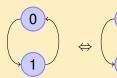
#### Inclusion

General definition (inductive):  $\forall g_{in} \ g_{out}, GinG^*_{R_G} \ g_{in} \ g_{out} \Leftrightarrow \begin{cases} R_G \ g_{in} \ g_{out} & or \\ \exists i, GinG^*_{R_G} \ g_{in} \ (fct \ (sons \ g_{out}) \ i) \end{cases}$ Instantiation:  $GinGP_R := GinG^*_{GPerm_P}$ 

#### The final relation

 $\forall g_1 g_2, GeqPerm_R g_1 g_2 \Leftrightarrow GinGP_R g_1 g_2 \land GinGP_R g_2 g_1$ Preserves equivalence.





# **Related Work**

#### Guardedness issues

- Bertot and Komendantskaya: same approach with streams
- Dams: defines everything coinductively and restricts the finite parts with properties of finiteness
- Niqui: solution using category theory but not usable here
- Danielsson: experimental solution to the problem in Agda (add constructors for each problematic function)
- Nakata and Uustalu: Mendler-style definition

#### Graph representation

• Erwig: inductive directed graph representation. Each node is added with its successors and predecessors.

### Permutations

Contejean: treats the same problem for lists

# **Conclusions and Perspectives**

- Done so far:
  - Complete solution to overcome the guardedness condition in the case of lists
  - Permutations captured for ilist
  - Quite liberal equivalence relation on Graph
  - Completely formalised in Coq (available at: www.irit.fr/~Celia.Picard/Coq/Permutations/)
  - But also completely described in mathematical language, see the forthcoming thesis by Celia
- Current and future work :
  - Instantiation of the graphs for finite automata (several contributions by quite some researchers to this ETAPS)
  - More general solution for any inductive type the container view may be most helpful
  - Use of the present work to represent transformations in the ANR CLIMT project on categorical and logical methods in model transformation (Grenoble/Toulouse)