

# Coinductive control of inductive data types

Paige Randall North and **Maximilien Péroux**

10th Conference on Algebra and Coalgebra in Computer  
Science (CALCO 2023)  
arXiv:2303.16793

19 June 2023

# Outline

Introduction and background

Endofunctors

# Outline

Introduction and background

Endofunctors

## Main idea

Given algebraic concepts, we can use coalgebras to define *partial* homomorphisms.

## Main idea

Given algebraic concepts, we can use coalgebras to define *partial* homomorphisms.

Suppose  $A$  and  $B$  are endowed with a multiplication. A homomorphism is usually a function  $f: A \rightarrow B$  such that  $f(aa') = f(a)f(a')$  for all  $a, a' \in A$ .

## Main idea

Given algebraic concepts, we can use coalgebras to define *partial* homomorphisms.

Suppose  $A$  and  $B$  are endowed with a multiplication. A homomorphism is usually a function  $f: A \rightarrow B$  such that  $f(aa') = f(a)f(a')$  for all  $a, a' \in A$ .

### Main idea

A coalgebra  $C$  which associates to every element  $c$  a possible collection of elements  $(c_{(1)}, c_{(2)})$ , and define a function  $C \rightarrow [A, B]$  such that, for each  $c \in C$ , the map  $f_c: A \rightarrow B$ :

$$f_c(aa') = f_{c_{(1)}}(a)f_{c_{(2)}}(a').$$

## Main idea

Given algebraic concepts, we can use coalgebras to define *partial* homomorphisms.

Suppose  $A$  and  $B$  are endowed with a multiplication. A homomorphism is usually a function  $f: A \rightarrow B$  such that  $f(aa') = f(a)f(a')$  for all  $a, a' \in A$ .

### Main idea

A coalgebra  $C$  which associates to every element  $c$  a possible collection of elements  $(c_{(1)}, c_{(2)})$ , and define a function  $C \rightarrow [A, B]$  such that, for each  $c \in C$ , the map  $f_c: A \rightarrow B$ :

$$f_c(aa') = f_{c_{(1)}}(a)f_{c_{(2)}}(a').$$

Idea appears in 1968 by Sweedler in the context of vector spaces.

## Overview

We can partially preserve algebraic structures using corresponding coalgebraic structures.



## Overview

We can partially preserve algebraic structures using corresponding coalgebraic structures.

Instead of having a set of homomorphisms between algebraic structures, we get a coalgebra of partial homomorphisms between algebraic structures.

## Overview

We can partially preserve algebraic structures using corresponding coalgebraic structures.

Instead of having a set of homomorphisms between algebraic structures, we get a coalgebra of partial homomorphisms between algebraic structures.

### Theorem (North-P.)

The category of algebras over an *accessible, lax symmetric monoidal* endofunctor on a *locally presentable, symmetric monoidal closed* category is enriched over the category of coalgebras of the same endofunctor.

# Overview

We can partially preserve algebraic structures using corresponding coalgebraic structures.

Instead of having a set of homomorphisms between algebraic structures, we get a coalgebra of partial homomorphisms between algebraic structures.

## Theorem (North-P.)

The category of algebras over an *accessible, lax symmetric monoidal* endofunctor on a *locally presentable, symmetric monoidal closed* category is enriched over the category of coalgebras of the same endofunctor.

## Gain

Get more control over algebras

- ▶ Get more “initial algebras” (e.g. generalized W-types)

# Previous work on coalgebraic enrichment

## Universal measuring coalgebra (Wraith, Sweedler 1968)

For  $k$ -algebras  $A$  and  $B$ , there is a  $k$ -coalgebra  $\underline{\text{Alg}}(A, B)$

- ▶ which underlies an enrichment of  $k$ -algebras in  $k$ -coalgebras
- ▶ whose *set-like elements*<sup>1</sup> are in bijection with  $\text{Alg}(A, B)$ .

Taking  $B := k$ , one gets the *dual*  $\underline{\text{Alg}}(A, k)$  of  $A$ .

## Extensions

- ▶ Anel-Joyal 2013 (dg-algebras)
- ▶ Hyland-Franco-Vasilakopoulou 2017 (monoids)
- ▶ Vasilakopoulou 2019 ( $\mathcal{V}$ -categories)
- ▶ P. 2022 ( $\infty$ -algebras of an  $\infty$ -operad)
- ▶ McDermott-Rivas-Uustalu 2022 (monads)
- ▶ North-P 2023 (algebras of endofunctor)

---

<sup>1</sup>those  $c \in \underline{\text{Alg}}(A, B)$  s.t.  $\Delta c = c \otimes c$  and  $\epsilon(c) = 1_A$

## Review of categorical W-types

Let  $\mathcal{C}$  be a locally presentable, symmetric monoidal closed category, i.e. Set with its usual Cartesian monoidal structure.

### Natural numbers

The type of natural numbers  $\mathbb{N}$  is the initial algebra for the endofunctor  $X \mapsto X + 1$ .

This endofunctor fulfills our hypotheses.

# Review of categorical W-types

Let  $\mathcal{C}$  be a locally presentable, symmetric monoidal closed category, i.e. Set with its usual Cartesian monoidal structure.

## Natural numbers

The type of natural numbers  $\mathbb{N}$  is the initial algebra for the endofunctor  $X \mapsto X + 1$ .

This endofunctor fulfills our hypotheses.

## Lists

The type of lists  $\mathbb{L}\text{ist}(A)$  is the initial algebra for the endofunctor  $X \mapsto X \times A + 1$ .

When  $A$  is equipped with the structure of a commutative monoid, this fulfills our hypotheses.

# Enriched categories

## Definition

An *enrichment* of a category  $\mathcal{C}$  in a monoidal category  $\mathcal{V}$  consists of

- ▶ a functor  $\underline{\mathcal{C}}(-, -) : \mathcal{C}^{\text{op}} \times \mathcal{C} \rightarrow \mathcal{V}$
- ▶ a morphism  $\mathbb{1} \rightarrow \underline{\mathcal{C}}(A, A)$  for each object  $A$  of  $\mathcal{C}$
- ▶ a morphism  $\underline{\mathcal{C}}(A, B) \otimes \underline{\mathcal{C}}(B, C) \rightarrow \underline{\mathcal{C}}(A, C)$  for each triple  $A, B, C$  of objects of  $\mathcal{C}$
- ▶ an isomorphism  $\mathcal{V}(\mathbb{1}, \underline{\mathcal{C}}(A, B)) \cong \mathcal{C}(A, B)$

## Remark

Monoidal *closed* means enriched in itself.

# Outline

Introduction and background

Endofunctors



# Measuring in general

Fix a category  $\mathcal{C}$  and an endofunctor  $F$  satisfying our hypotheses.

## Measuring

Given algebras  $(A, FA \xrightarrow{\alpha} A)$ ,  $(B, FB \xrightarrow{\beta} B)$  a *measuring*  $(A, \alpha) \rightarrow (B, \beta)$  is a coalgebra  $(C, C \xrightarrow{\chi} FC)$  together with a morphism  $\phi : C \rightarrow \underline{\mathcal{C}}(A, B)$  such that

$$\begin{array}{ccccccc} & & FC & \xrightarrow{F(\phi)} & F(\underline{\mathcal{C}}(A, B)) & \longrightarrow & \underline{\mathcal{C}}(FA, FB) \\ & \nearrow \chi & & & & & \downarrow \beta \\ C & & & & & & \\ & \searrow \phi & \underline{\mathcal{C}}(A, B) & \xrightarrow{\alpha} & \underline{\mathcal{C}}(FA, B) & & \end{array}$$

i.e., the measure and the co/algebra structures are compatible.

The *universal measuring*  $\underline{\text{Alg}}(A, B)$  is the terminal measuring  $(A, \alpha) \rightarrow (B, \beta)$ .

## Measuring for the natural numbers

Consider the endofunctor  $X \mapsto X + 1$  on  $\text{Set}$ .

- ▶ Algebras are sets  $A$  together with  $A + 1 \rightarrow A$ 
  - ▶ Have  $-_A : \mathbb{N} \rightarrow A$
- ▶ Coalgebras are sets  $C$  together with  $C \rightarrow C + 1$ 
  - ▶ Have  $\llbracket - \rrbracket : C \rightarrow \mathbb{N}^\infty$

## Measuring for the natural numbers

Consider the endofunctor  $X \mapsto X + 1$  on  $\text{Set}$ .

- ▶ Algebras are sets  $A$  together with  $A + 1 \rightarrow A$ 
  - ▶ Have  $-_A : \mathbb{N} \rightarrow A$
- ▶ Coalgebras are sets  $C$  together with  $C \rightarrow C + 1$ 
  - ▶ Have  $\llbracket - \rrbracket : C \rightarrow \mathbb{N}^\infty$

Recall a homomorphism  $f : A \rightarrow B$  between algebras is a function such that  $f(0_A) = 0_B$  and  $f(a + 1) = f(a) + 1$ , for all  $a \in A$ .

## Measuring for the natural numbers

Consider the endofunctor  $X \mapsto X + 1$  on  $\text{Set}$ .

- ▶ Algebras are sets  $A$  together with  $A + 1 \rightarrow A$ 
  - ▶ Have  $-_A : \mathbb{N} \rightarrow A$
- ▶ Coalgebras are sets  $C$  together with  $C \rightarrow C + 1$ 
  - ▶ Have  $\llbracket - \rrbracket : C \rightarrow \mathbb{N}^\infty$

Recall a homomorphism  $f : A \rightarrow B$  between algebras is a function such that  $f(0_A) = 0_B$  and  $f(a + 1) = f(a) + 1$ , for all  $a \in A$ .

### Measuring

For algebras  $A, B$ , a *measuring*  $A \rightarrow B$  is a coalgebra  $C$  together with a function  $C \rightarrow A \rightarrow B$  such that

- ▶  $f_c(0_A) = 0_B$  for all  $c \in C$ ;
- ▶  $f_c(a + 1) = 0_B$  for all  $\llbracket c \rrbracket = 0$  and for all  $a \in A$ ;
- ▶  $f_c(a + 1) = f_{c-1}(a) + 1$  for  $\llbracket c \rrbracket \geq 1$  and for all  $a \in A$ .

The *universal measuring*  $\underline{\text{Alg}}(A, B)$  is the terminal measuring  $A \rightarrow B$ .

## Set-like elements in general

### Definition

The *set-like elements* are coalgebra homomorphisms

$$\mathbb{1} \rightarrow \underline{\text{Alg}}(A, B)$$

i.e., elements of  $\text{Alg}(A, B)$ .

# Set-like elements for the natural numbers

## Set-like elements

The *set-like elements* are

$$\mathbb{N} \rightarrow \underline{\text{Alg}}(A, B)$$

# Set-like elements for the natural numbers

## Set-like elements

The *set-like elements* are

$$\mathbb{N} \rightarrow \underline{\text{Alg}}(A, B)$$

where  $\mathbb{N}$  has underlying set  $\{*\}$  such that  $\llbracket * \rrbracket = \infty$

# Set-like elements for the natural numbers

## Set-like elements

The *set-like elements* are

$$\mathbb{I} \rightarrow \underline{\text{Alg}}(A, B)$$

where  $\mathbb{I}$  has underlying set  $\{*\}$  such that  $\llbracket * \rrbracket = \infty$

so  $\mathbb{I} \rightarrow \underline{\text{Alg}}(A, B)$  is an element  $c \in \underline{\text{Alg}}(A, B)$  s.t.  $\llbracket c \rrbracket = \infty$



# Set-like elements for the natural numbers

## Set-like elements

The *set-like elements* are

$$\mathbb{1} \rightarrow \underline{\text{Alg}}(A, B)$$

where  $\mathbb{1}$  has underlying set  $\{*\}$  such that  $\llbracket * \rrbracket = \infty$   
so  $\mathbb{1} \rightarrow \underline{\text{Alg}}(A, B)$  is an element  $c \in \underline{\text{Alg}}(A, B)$  s.t.  $\llbracket c \rrbracket = \infty$   
so  $f_c$  is an algebra homomorphism

## Measuring

...

- ▶  $f_c(0_A) = 0_B$  for all  $c \in C$ ;
- ▶ ...
- ▶  $f_c(a + 1) = f_{c-1}(a) + 1$  for  $\llbracket c \rrbracket \geq 1$  and for all  $a \in A$ .

# Set-like elements for the natural numbers

## Set-like elements

The *set-like elements* are

$$\mathbb{1} \rightarrow \underline{\text{Alg}}(A, B)$$

where  $\mathbb{1}$  has underlying set  $\{*\}$  such that  $\llbracket * \rrbracket = \infty$   
so  $\mathbb{1} \rightarrow \underline{\text{Alg}}(A, B)$  is an element  $c \in \underline{\text{Alg}}(A, B)$  s.t.  $\llbracket c \rrbracket = \infty$   
so  $f_c$  is an algebra homomorphism  
that is, an element of  $\text{Alg}(A, B)$ .

## Measuring

...

- ▶  $f_c(0_A) = 0_B$  for all  $c \in C$ ;
- ▶ ...
- ▶  $f_c(a + 1) = f_{c-1}(a) + 1$  for  $\llbracket c \rrbracket \geq 1$  and for all  $a \in A$ .

# Set-like elements for the natural numbers

## Set-like elements

The *set-like elements* are

$$\mathbb{1} \rightarrow \underline{\text{Alg}}(A, B)$$

where  $\mathbb{1}$  has underlying set  $\{*\}$  such that  $\llbracket * \rrbracket = \infty$   
so  $\mathbb{1} \rightarrow \underline{\text{Alg}}(A, B)$  is an element  $c \in \underline{\text{Alg}}(A, B)$  s.t.  $\llbracket c \rrbracket = \infty$   
so  $f_c$  is an algebra homomorphism  
that is, an element of  $\text{Alg}(A, B)$ .

## Example

$$\text{Alg}(\mathbb{N}, A) \cong *$$

$$\underline{\text{Alg}}(\mathbb{N}, A) \cong \mathbb{N}^\infty$$

# What are the non-set-like elements?

## Example

$$\text{Alg}(\mathbb{N}, A) \cong *$$

$$\underline{\text{Alg}}(\mathbb{N}, A) \cong \mathbb{N}^\infty$$

The elements corresponding to  $n \in \mathbb{N}^\infty$  are functions which 'are algebra homomorphisms' on  $\{0, \dots, n\} \subseteq \mathbb{N}$ , i.e., are *n-partial homomorphisms*.

- ▶ Let  $\mathfrak{n}$  denote the quotient of  $\mathbb{N}$  by  $m = n$  for all  $m \geq n$ .
- ▶ Let  $\mathfrak{n}^\circ$  denote the subobject of  $\mathbb{N}^\infty$  consisting of  $\{0, \dots, n\}$ .

## Example

$$\underline{\text{Alg}}(\mathfrak{n}, A) \cong \begin{cases} \mathbb{N}^\infty & \text{if } n_A = m_A \text{ for all } m \geq n; \\ \mathfrak{n}^\circ & \text{otherwise.} \end{cases}$$

# What can we do with this?

Perhaps define more general *initial objects*.

## $C$ -initial objects

For a coalgebra  $C$ , a  $C$ -initial algebra is an algebra  $A$  universal with the property that for all other algebras  $B$  there is a unique

$$C \rightarrow \underline{\text{Alg}}(A, B).$$

## Examples

For the natural-numbers endofunctor:

- ▶  $\mathbb{N}$  is the  $\mathbb{1}$ -initial algebra
- ▶  $\mathbb{N}$  is the  $\mathbb{N}^\infty$ -initial algebra
- ▶  $\mathfrak{n}$  is the  $\mathfrak{n}^\circ$ -initial algebra

## Future work

- ▶ Work out more examples in detail
- ▶ Understand what it means to endow the containers with extra structure (e.g.  $A$  needs a commutative monoid structure for the container for  $\mathbb{L}ist(A)$ )
- ▶ Understand  $C$ -initial algebras in more examples and in general
- ▶ Understand if this extra structure is useful for programming languages

Thank you!