Compiling with Call-by-push-value

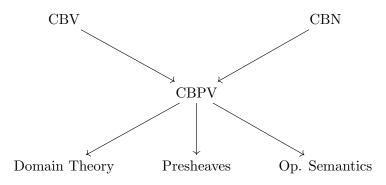
Max S. New

University of Michigan

June 23, 2023

Overview of CBPV

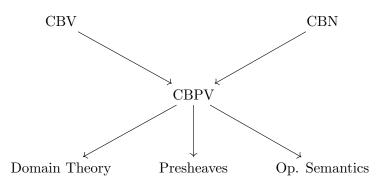
Paul Blain Levy introduced **Call-by-push-value** as a *subsuming paradigm* for effectful computation



Preserves equational theories

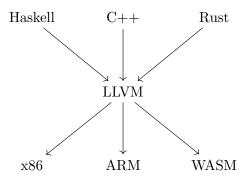
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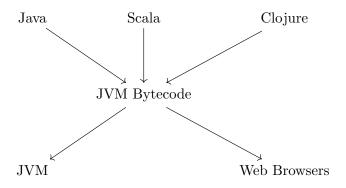


- Preserves equational theories
- Observation: Denotational models of CBV/CBN naturally decompose into CBPV structure. Semantics of CBPV is easier even though it's more general

Intermediate Representations



Language Platforms



Compare: Racket, .NET,

CBPV as an IR or Language Platform?

4 As an IR: CBPV structure arises in compilation

CBPV as an IR or Language Platform?

- As an IR: CBPV structure arises in compilation
- ② CBV, CBN embeddings in CBPV preserve and reflect equational theories:

Foundation for a language platform for *verified* language implementations that preserve *reasoning* (equality, logics) not just *whole-program behavior*?

Outline

- Call-by-push-value Overview
- 2 CBPV subsumes Functional IRs
 - CBPV subsumes ANF, MNF
 - Stack-Passing Style subsumes CPS
- Equality-Preserving Compiler Passes in CBPV/SPS
 - Polymorphic Closure Conversion
 - Polymorphic CPS Conversion
- 4 Computation/Stack Types in Compilation
 - Calling Conventions as Types
 - Relative Monads
- 5 Future Work

Call-by-push-value Overview

Basics of CBPV

Refine Moggi's analysis of effects using monads in terms of *adjunctions* Effectful computation naturally involves two *kinds* of types:

- Value types: the types of pure data, first-class values
- Computation types: the types of effectful computations

Basics of CBPV

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- ② Computation types: the types of effectful computations

Three notions of term

- **1** Pure functions $\Gamma \vdash V : A$
- **2** Effectful functions $\Gamma \vdash M : B$
- **3** Linear functions aka "Stacks" $\Gamma \mid z : B \vdash L : B'$

Basics of CBPV

Value Types, Values

$$egin{array}{lll} \mathrm{A,A'} &::= & \mathit{UB} \,|\, \mathrm{Bool} \\ \mathrm{V,\,V'} &::= & \mathit{x} \,|\, \mathrm{thunk} \,\mathit{M} \\ & \mathrm{true} \,|\, \mathrm{false} \\ & & (\mathit{V},\mathit{V'}) \,|\, \mathit{V.\pi_i} \end{array}$$

A value is

- A UB is a "thUnked" B
- A Bool is either true or false

Computation Types, Computations

$$\begin{array}{ll} \mathrm{B,B'} ::= & \mathit{FA} \mid A \to B \\ \mathrm{M,M'} ::= & \mathit{z} \mid \mathrm{force} \ V \\ & \mathrm{if} \ V \ M \ M' \\ & \mathrm{ret} \ V \\ & \mathrm{let} \ x \leftarrow M; \ M' \\ & \lambda x.M \mid M \ V \\ & \mathrm{prints;} \ M \\ & \mathrm{read} x.M \end{array}$$

A computation does

- An FA "Feturns" A values
- An $A \rightarrow B$ pops an A, continues as B

Equations in CBPV

Every type has associated $\beta\eta$ equality rules

force thunk
$$M = M$$
 $(V : UB) = \text{thunk force } V$
$$(\lambda x.M)V = M[V/x] \qquad (M : A \to B) = \lambda x.Mx$$

$$\text{let } x \leftarrow \text{ret } V; N = M[V/x] \qquad N[M : FA/z] = \text{let } z \leftarrow M; N$$

And linear terms are *homomorphisms* of effect operations:

$$M[\operatorname{print} s; N/z] = \operatorname{print} s; M[N/z]$$

 $M[\operatorname{read} x.N/z] = \operatorname{read} x.M[N/z]$

CBV term $\Gamma \vdash M : A$ becomes

$$\Gamma^{cbv} \vdash M^{cbv} : FA^{cbv}$$

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CBN terms $x_1 : B_1, \ldots \vdash M : B$ become

$$x_1: UB_1^{cbn}, \ldots \vdash M^{cbn}: B^{cbn}$$

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"CBN variables are always thunks"

$$(\mathrm{Bool})^{cbn} = F\mathrm{Bool}$$

$$(B \rightarrow B')^{cbn} = UB^{cbn} \rightarrow B'^{cbn}$$

CBPV subsumes Functional IRs

A-Normal Form, Monadic Normal Form

A-Normal Form:

```
\begin{array}{ll} \operatorname{Values} ::= & x \mid \lambda x.M \mid \operatorname{true} \mid \operatorname{false} \\ \operatorname{Operations} O ::= & \operatorname{ret} V \mid \operatorname{if} V M M' \mid V V' \mid \operatorname{print} s \mid \operatorname{read} \\ \operatorname{Terms} M ::= & O \mid \operatorname{let} x \leftarrow O; M' \end{array}
```

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With equational theories as well. Every MNF term is equal in the theory to an ANF term.

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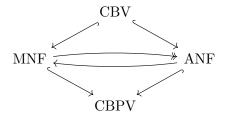
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\begin{aligned} & \text{Values} ::= & x \mid \lambda x. M \mid \text{true} \mid \text{false} \\ & \text{Terms} M ::= & \text{let} x \leftarrow M; M' \mid \text{ret} \ V \mid \text{if} \ V \ M \ M' \mid V \ V' \mid \text{print} \ s \mid \text{read} \end{aligned}
```

With equational theories as well. Every MNF term is equal in the theory to an ANF term.

Observe: this is isomorphic a "full" subset of CBPV where the only computation type is FA and $A \rightharpoonup A'$ is given $\beta \eta$ rules corresponding to $U(A \rightarrow FA')$.

"Fine-grained CBV", see Levy, Power and Thielecke, Information and Computation 2003.

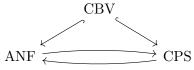
CBPV Subsumes ANF, MNF



ANF is Equivalent to Continuation Passing Style

A-normal form was introduced in Sabry and Felleisen *Reasoning about Programs in Continuation-Passing Style* Lisp & F.P. 1992.

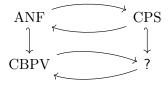
Conversion to A-normal form is equivalent to CPS conversion followed by "unCPS".



ANF: CPS as CBPV:?



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- Stack types: the type of the stack a computation runs against

Three notions of term

- Values $\Gamma \vdash V : A$
- **2** Stacks, i.e., linear values $\Gamma \mid z : B \vdash S : B'$
- **3** Computations, $\Gamma \mid z : B \vdash M$

With "obvious" substitution principles.

Value Types, Values

$$A,A' ::= \stackrel{p}{\neg} B \mid Bool$$

 $V, V' ::= x \mid \lambda z.M \mid true \mid false$

A value is

- A $\neg B$ is a first class procedure that requires a B stack to run.
- A Bool is true or false.

Stack Types, Stacks

B,B' ::=
$$\stackrel{k}{\neg} A \mid A \oslash B$$

S, S' ::= $z \mid \lambda x.S \mid (V, S)$

A stack is, linearly,

- A ¬A is a linear kontinuation for A values
- An A ⊘ B is an A pushed onto a B stack.

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$$M, M' ::= V(S) | S(V) | \text{if } V M M'$$

 $let(x, z) = S \text{ in } M$
 $prints; M | readx.M$

A computation isn't (no output)



CBPV to SPS and Back

Bool^{sps} = Bool

$$(UB)^{sps} = {}^{p}_{\neg}B^{sps}$$

$$(A \to B)^{sps} = A^{sps} \oslash B^{sps}$$

$$(FA)^{sps} = {}^{k}_{\neg}A^{sps}$$

$$\mathrm{Bool}^{cbpv} = \mathrm{Bool}$$
 $(\stackrel{p}{\lnot} B)^{cbpv} = UB^{cbpv}$
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Linear duality!

$$\mathrm{Bool}^{cbpv} = \mathrm{Bool}$$
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CBPV and SPS as Flavors of Linear Logic

Different "flavors" of linear logic based on the allowed sequents

$$\Gamma \mid \Delta \vdash M : \Delta'$$

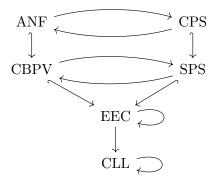
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Calculus	Allowed $ \Delta $	Allowed $ \Delta' $
Enriched-Effect Calculus	= 1	= 1
Call-by-push-value	≤ 1	=1
Stack-passing Style	= 1	≤ 1
Intuitionistic	$<\omega$	=1
Co-Intuitionistic	= 1	$<\omega$
Classical	$<\omega$	$<\omega$

ANF-CPS Correspondence as Linear Duality



Equality-Preserving Compiler Passes in CBPV/SPS

Two "Polymorphic" Compiler Passes

Typed Closure conversion, Minamide, Morrisett and Harper, POPL
 '96

$$(A \rightharpoonup A')^{cc} = \exists X.X \times (X, A \rightharpoonup_{code} A')$$

Polymorphic Continuation Passing style

$$(A \rightharpoonup A')^{cps} = \forall X.A, (A' \rightarrow X) \rightarrow X$$

From control effects to typed continuation passing, Thielecke, POPL '03

Both passes are type preserving, equivalence preserving*.

Polymorphic Closure Conversion

Target architectures don't have built in support for closures, need to implement them as a pair of an environment and a *code pointer*.

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$$(UB)^{cc} = \exists X : VTy.X \times CODE(X \rightarrow B^{cc})$$

In SPS, the closures are the *procedures*:

$$(\stackrel{p}{\neg}B)^{cc} = \exists X : \text{ValTy.} X \times \stackrel{\text{code}}{\neg} (X \oslash B^{cc})$$

Target architectures only support jumps, not calls with return, need to pass continuations as arguments.

To support arbitrary calls, functions must pass return continuations as arguments.

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$$(\overset{k}{\neg} A)^{cps} = \exists S : \operatorname{StkTy.} \overset{p}{\neg} (A^{cps} \oslash S) \oslash S$$

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$$(\overset{k}{\neg} A)^{cps} = \exists S : \operatorname{StkTy.} \overset{p}{\neg} (A^{cps} \oslash S) \oslash S$$

In the dual "polymorphic CPS" is "polymorphic closure conversion" of kontinuations!

Does Polymorphic CPS Conversion Preserve Equivalence?

Ahmed and Blume, ICFP '11: polymorphic CPS does not preserve equivalence in CBV evaluation order:

$$\Lambda X.\lambda x: 1, k: (\mathrm{Bool} \to X).y \leftarrow k(\mathrm{true}); k(\mathrm{false})$$

Polymorphic but still "abuses" the kontinuation.

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$$\begin{split} ((A \rightharpoonup A')^{cps})^{cbv} &= (\forall X.A^{cps}, (A'^{cps} \to X) \to X)^{cbv} \\ &= \forall X : \text{ValTy}.A^{cps,cbv} \to U(A'^{cps,cbpv} \to FX) \to FX \\ &\not\cong \forall R : \text{CompTy}.A^{cps,cbv} \to U(A'^{cps,cbpv} \to R) \to R \end{split}$$

Computation/Stack Types in Compilation

$$A_1,\ldots,A_n \rightharpoonup A'$$

Left-to-right

$$A_1,\ldots,A_n \rightharpoonup A'$$

$$A_0 \to A_1 \to \cdots \to \forall R. \text{CODE}(A' \to R) \to R$$

Left-to-right

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Right-to-left

$$A_n \to A_{n-1} \to \cdots \to \forall R. CODE(A' \to R) \to R$$

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return address before arguments

$$\forall R. \text{CODE}(A' \to R) \to A_0 \to A_1 \to \cdots \to R$$

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Caller-cleanup (cdecl)

$$\forall R. \text{CODE}(A' \to A_0 \to A_1 \to \cdots R) \to A_0 \to A_1 \to \cdots \to R$$

(Stack-based) Calling Conventions as Stack Types

Can dualize the same translations to SPS:

$$A_1,\ldots,A_n \rightharpoonup A'$$

e.g.,

$$A_0 \oslash A_1 \oslash \cdots \exists S. \overset{\text{code}}{\neg} (A' \oslash S) \oslash S$$

Compare: Stack-based calling conventions in *Stack-Based Typed Assembly Language* Morrissett, Krary, Glew and Walker JFP 2002

A monad T in λ calculus is an operation on types T with

$$\eta: B \to TB'$$
 $-^*: (B \to TB') \to (TB \to TB')$

satisfying 3 equations.

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Example: "error monad"

$$TA = E + A$$

Good for equational reasoning, but not a good model of how exceptions are *implemented*. Monads for effects fundamentally *conflate* two aspects: *TA* is a *first class value* representing a *computation that can run*.

Relative Monads

A relative monad¹² in CBPV consists of a type constructor

$$\operatorname{Eff}:\operatorname{ValTy}\to\operatorname{CompTy}$$

with operations

$$\eta:A\to \operatorname{Eff} A \qquad \qquad \frac{x:A\vdash N:\operatorname{Eff} A'}{z:\operatorname{Eff} A\vdash x\leftarrow^{Eff}z;N:\operatorname{Eff} A'}$$

satisfying 3 equations.

¹Altenkirch, Chapman and Uustalu, LMCS 2015

Naïve implementation:

$$F(A+E)$$

Double barreled continuations:

$$\forall R.U(A \rightarrow R) \rightarrow U(E \rightarrow R) \rightarrow R$$

Double barreled code pointers:

$$\forall R. \text{CODE}(A \to R) \to \text{CODE}(E \to R) \to R$$

Stack-walking exception³:

¹Caveat: Need to restrict to well-behaved elements to get a monad

Stack-walking exception³:

$$\begin{split} \operatorname{Exn} E \, A &\cong F(A+E) \\ &\& (\forall X : \operatorname{ValTy}.U(A \to \operatorname{Exn} E \, X) \to \operatorname{Exn} E \, X) \\ &\& \forall X : \operatorname{ValTy}.U(E \to \operatorname{Exn} X \, A) \to \operatorname{Exn} X \, A \end{split}$$

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Stack-walking exception³:

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Easier to see as the dual in SPS:

$$\begin{aligned} \operatorname{Exn} E A &\cong \overset{k}{\neg} (A + E) \\ &\oplus (\exists X : \operatorname{ValTy}.U(A \oslash \operatorname{Exn} E X) \oslash \operatorname{Exn} E X) \\ &\oplus (\exists X : \operatorname{ValTy}.U(E \oslash \operatorname{Exn} X A) \oslash \operatorname{Exn} X A \end{aligned}$$

(Caveat: need to quotient to get a monad)

¹Caveat: Need to restrict to well-behaved elements to get a monad

²Caveat: need to restrict to a well-behaved subset to get a monad > > > > >

Future Work

Future: Beyond The Stack, Beyond Sequentiality

Only have stack-based calling conventions in CBPV proper. Can registers be incorporated in a similarly well-behaved type theory?

Future: Beyond The Stack, Beyond Sequentiality

- Only have stack-based calling conventions in CBPV proper. Can registers be incorporated in a similarly well-behaved type theory?
- ② CBPV gives a foundation for sequential composition, can we combine CBPV with Intuitionistic/Classical LL to similarly analyze IRs for concurrent/parallel code?

WIP: Implementation

- Zydeco, a CBPV Surface Language + Polymorphism https://github.com/zydeco-lang/zydeco
- Surface language where we can experiment with writing code using new abstractions like relative monads.
- Ongoing work on a backend using a CBPV IR
- Extend to Dependent CBPV, compile Dependent CBPV...

CBPV as an IR

- CBPV structure arises naturally in compilation
- Foundation for verified equality preserving compilation
- Computation/Stack types useful for typing low-level programming idioms
- An implementation called Zydeco in progress: https://github.com/zydeco-lang/zydeco

BONUS: Relative Monads in SPS

A relative monad in SPS consists of a type constructor

$$Not : ValTy \rightarrow StkTy$$

with operations

$$x:A \mid z: \operatorname{Not} A \vdash \operatorname{call}(z,x)$$

$$\frac{x:A \mid z: \operatorname{Not} A' \vdash M}{z: \operatorname{Not} A' \vdash \lambda^{\operatorname{Not}} x.M: \operatorname{Not} A}$$

satisfying 3 equations.