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If $a \notin \mathbb{Q}$, then take $x = a$ and $y = \sqrt{2}$. Then

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Intuitionistic mathematician: but $\sqrt{2}^{\sqrt{2}} \in \mathbb{Q}$ or $\sqrt{2}^{\sqrt{2}} \notin \mathbb{Q}$??? 😞

CHAPTER 10

THE GELFOND-SCHNEIDER THEOREM

1. Hilbert's seventh problem. In 1900 David Hilbert announced a list of twenty-three outstanding unsolved problems. The seventh problem was settled by the publication of the following result in 1934 by A. O. Gelfond, which was followed by an independent proof by Th. Schneider in 1935.

THEOREM 10.1. *If α and β are algebraic numbers with $\alpha \neq 0$, $\alpha \neq 1$, and if β is not a real rational number, then any value of α^β is transcendental.*

Remarks. The hypothesis that " β is not a real rational number" is usually stated in the form " β is irrational." Our wording is an attempt to avoid the suggestion that β must be a real number. Such a number as $\beta = 2 + 3i$, sometimes called a "complex rational number," satisfies the hypotheses of the theorem. Thus the theorem establishes the transcendence of such numbers as 2^i and $2^{\sqrt{2}}$. In general, $\alpha^\beta = \exp\{\beta \log \alpha\}$ is multivalued, and this is the reason for the phrase "any value of" in the statement of Theorem 10.1. One value of $i^{-2i} = \exp\{-2i \log i\}$ is e^π , and so this is transcendental according to the theorem.

Before proceeding to the proof of Theorem 10.1, we state an alternative form of the result.

Schneider theorem, and they will be given with proofs in the next section.

LEMMA 10.3. *Consider a determinant with the non-zero element ρ_j^a in the j -th row and $1 + a$ -th column, with $j = 1, 2, \dots, t$ and $a = 0, 1, \dots, t - 1$. This is called a Vandermonde determinant, and it vanishes if and only if $\rho_j = \rho_k$ for some distinct pair of subscripts j, k .*

This can be found in J. V. Uspensky, *Theory of Equations*, McGraw-Hill, p. 214. The next four lemmas are in Harry Pollard, *The Theory of Algebraic Numbers*, John Wiley, p. 53, p. 60. pp. 63–66, p. 72.

LEMMA 10.4. *Let α and β be algebraic numbers in a field K of degree h over the rationals. If the conjugates of α for K are $\alpha = \alpha_1, \alpha_2, \dots, \alpha_h$ and for β are $\beta = \beta_1, \beta_2, \dots, \beta_h$, then the conjugates of $\alpha\beta$ and $\alpha + \beta$ are $\alpha_1\beta_1, \dots, \alpha_h\beta_h$ and $\alpha_1 + \beta_1, \dots, \alpha_h + \beta_h$.*

LEMMA 10.5. *If α is an algebraic number, then there is a positive rational integer r such that $r\alpha$ is an algebraic integer.*

LEMMA 10.6. *If K is an algebraic number field of degree h over the rationals, then there exist integers $\beta_1, \beta_2, \dots, \beta_h$ in K such that every integer in K is expressible uniquely as a linear combination $g_1\beta_1 + \dots + g_h\beta_h$ with rational integral coefficients. The numbers β_j are called an integral basis for K , and the discriminant of such a basis is a non-zero rational integer.*

LEMMA 10.7. *If α is an algebraic number in a field K of degree h over the rationals, then the norm $N(\alpha)$, defined as the product of α and its conjugates, satisfies the relation $N(\alpha\beta) = N(\alpha) \cdot N(\beta)$. Also $N(\alpha) = 0$ if and only if $\alpha = 0$. If α is an algebraic integer, then $N(\alpha)$ is a rational integer. If α is rational, then $N(\alpha) = \alpha^h$.*

Finally, from complex variable theory we need the concept of entire function, i.e., a function that is analytic in the whole complex plane, and Cauchy's residue theorem. These ideas can be found, for example, in K. Knopp's *Theory of Functions*, vol. I, Dover, p. 112ff. and p. 130.

3. Two lemmas. LEMMA 10.8. *Consider the m equations in n unknowns*

(10.1)

$$a_{k1}x_1 + a_{k2}x_2 + \cdots + a_{kn}x_n = 0, \quad k = 1, 2, \dots, m,$$

with rational integral coefficients a_{ij} , and with $0 < m < n$. Let the positive integer A be an upper bound of the absolute values of all coefficients; thus $A \geq |a_{ij}|$ for all i and j . Then there is a non-trivial solution x_1, x_2, \dots, x_n in rational integers of equations (10.1) such that

$$|x_j| < 1 + (nA)^{m/(n-m)}, \quad j = 1, 2, \dots, n.$$

Proof. Write y_k for $a_{k1}x_1 + \cdots + a_{kn}x_n$ so that to each point $x = (x_1, x_2, \dots, x_n)$ there corresponds a point $y = (y_1, y_2, \dots, y_m)$. A point such as x is said to be a *lattice point* if its coordinates x_j are rational integers. If x is a lattice point, then the corresponding point y is also a lattice point because the a_{ij} are rational integers. Let q be any positive integer. Let x range over the $(2q+1)^n$ lattice points inside or on the n -dimensional cube defined by $|x_j| \leq q$ for all subscripts j . Then the corresponding values of y_k satisfy

$$|y_k| = \left| \sum_{j=1}^n a_{kj}x_j \right| \leq \sum_{j=1}^n |a_{kj}| \cdot |x_j| \leq \sum_{j=1}^n Aq = nAq.$$

Thus, as x ranges over the $(2q+1)^n$ lattice points as indicated, the corresponding lattice points y have coordinates y_k which are integers among the $2nAq+1$

LEMMA 10.9. Consider the p equations in q unknowns (10.4)

$$\alpha_{k1}\xi_1 + \alpha_{k2}\xi_2 + \cdots + \alpha_{kq}\xi_q = 0, \quad k = 1, 2, \dots, p,$$

with coefficients α_{ij} which are integers in an algebraic number field K of finite degree. Assume that $0 < p < q$. Let $A \geq 1$ be an upper bound for the absolute values of the coefficients and their conjugates for K , thus $A \geq \|\alpha_{ij}\|$ for all i and j . Then there exists a positive constant c depending on the field K but independent of α_{ij} , p , and q , such that the equations (10.4) have a non-trivial solution $\xi_1, \xi_2, \dots, \xi_q$ in integers of the field K satisfying

$$\|\xi_k\| < c + c(cqA)^{p/(q-p)}, \quad k = 1, 2, \dots, p.$$

Proof. Let h be the degree of K over the field of rational numbers, and let $\beta_1, \beta_2, \dots, \beta_h$ be an integral basis for the field. If α is any integer of K , then by Lemma 10.6 we can express α uniquely as a linear combination of the integral basis,

$$\alpha = g_1\beta_1 + g_2\beta_2 + \cdots + g_h\beta_h,$$

with rational integral coefficients g_j . Denote the conjugates of α for K by $\alpha = \alpha^{(1)}, \alpha^{(2)}, \dots, \alpha^{(h)}$, and similarly for the β_j . Taking conjugates in the last equation, by Lemma 10.4 we get

$$\alpha^{(i)} = g_1\beta_1^{(i)} + g_2\beta_2^{(i)} + \cdots + g_h\beta_h^{(i)}, \quad i = 1, 2, \dots, h.$$

The determinant $|\beta_j^{(i)}|$ is the discriminant of the basis, and it is not zero by Lemma 10.6. Hence we can solve these equations for the g_j as linear combinations of the $\alpha^{(i)}$, with coefficients dependent only on the basis. Taking absolute values throughout these solutions, we can write

$$(10.5) \quad |g_j| < c_1 \|\alpha\|, \quad j = 1, 2, \dots, h,$$

$$\begin{aligned} |\zeta| &< |\log \alpha|^{-p} \cdot \frac{p}{q} \cdot c_8^p p^{(3-m)/2} \cdot \frac{2q}{p} \\ &< \{2c_8 |\log \alpha|^{-1}\}^p p^{(3-m)/2} \\ &= c_8^p p^{(3-m)/2}. \end{aligned}$$

With this estimate for $|\zeta|$, and that of Lemma 10.12 for its conjugates, we write, by (10.10),

$$|N(\zeta)| < c_5^p p^{(3-m)2} (c_7^p p^m)^{h-1} = (c_9 c^{h-1})^p p^{-p} = c_8^p p^{-p},$$

where $c_9 = c_9 c^{h-1}$. This and Lemma 10.11 imply that

$$c_8^p p^{-p} > C^{-p}, \quad C c_9 > p,$$

for some positive constants independent of n and p . But this is a contradiction, because $p \geq n$, and we can choose n arbitrarily large.

Notes on Chapter 10

The special case of Theorem 10.1 for any imaginary quadratic irrational β was established by A. O. Gelfond, *Compt. Rend. Acad. Sci. Paris*, 189 (1929), 1224–1226. The original papers establishing Theorem 10.1 are: A. O. Gelfond, *Doklady Akad. Nauk S.S.S.R.*, 2 (1934), 1–6; Th. Schneider, *J. reine angew. Math.*, 172 (1935), 65–69. The American Mathematical Society has provided an English translation (Translation Number 65) of an advanced expository paper by A. O. Gelfond, *The approximation of algebraic numbers by algebraic numbers and the theory of transcendental numbers*, *Uspehi Mat. Nauk (N.S.)*, 4, no. 4 (32), 19–49 (1949). There is an exposition of Gelfond's proof by E. Hille, *Amer. Math. Monthly*, 49 (1942), 654–661.

The proof of Theorem 10.1 given here is based on a simplification of Gelfond's proof by C. L. Siegel, *Transcendental Numbers*, Princeton, pp. 80–83.

Although the methods of Chapters 9 and 10 establish the transcendence of wide classes of numbers, there are many unsolved prob-

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Prawitz: what makes a connective **classical** or **intuitionistic**?

Logical inferentialism:

- ▶ the meaning of the logical constants can be specified by the **rules** that determine their correct use;
- ▶ proof-theoretical requirements on admissible logical rules: **harmony** and **separability**;
- ▶ **pure** logical systems: negation is not used in premises.

- ▶ **IL:** if what you mean by $(A \vee B)$ is $\neg(\neg A \wedge \neg B)$, then I can accept the validity of $(A \vee \neg A)$!

Logical motivation (dialogue by Luiz Carlos)

- ▶ **IL:** if what you mean by $(A \vee B)$ is $\neg(\neg A \wedge \neg B)$, then I can accept the validity of $(A \vee \neg A)$!
- ▶ **CL:** but I do not mean $\neg(\neg A \wedge \neg\neg A)$ by $(A \vee \neg A)$. One must distinguish the excluded-middle from the the principle of non-contradiction. When I say that Goldbach's conjecture is either true or false, I am not saying that it would be contradictory to assert that it is not true and that it is not the case that it is not true!

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- ▶ **IL:** but you must realize that, at the end of the day, you just have one logical operator, like the Quine's dagger (a.k.a. NOR).
- ▶ **CL:** But this is not at all true! The fact that we can define one operator in terms of other operators does not imply that we don't have different operators!

It is true that we can prove $\vdash (A \vee_c B) \leftrightarrow \neg(\neg A \wedge \neg B)$ in the ecumenical system, but this does not mean that we don't have three different operators: \neg , \vee_c and \wedge .

if $x + y = 2z$ then $x \geq z$ or $y \geq z$.

Mathematical motivation (example by Emerson Sales)

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classical mathematician ☺

intuitionistic mathematician ☺

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classical mathematician



intuitionistic mathematician



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How about **ecumenical** typing and verification?

Proof Theory

Ecumenism

The quest for *purity*

Modalities

Achieving purity

Some discussion

Proof Theory

Ecumenism

The quest for *purity*

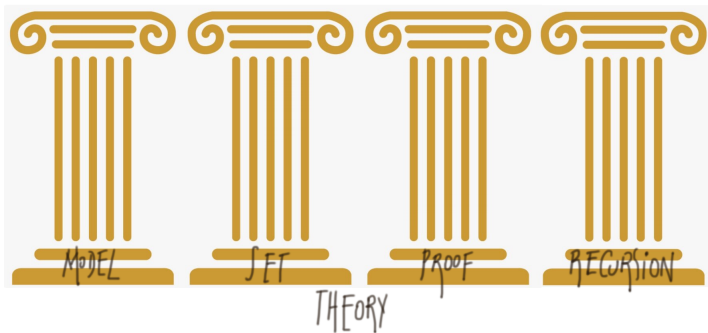
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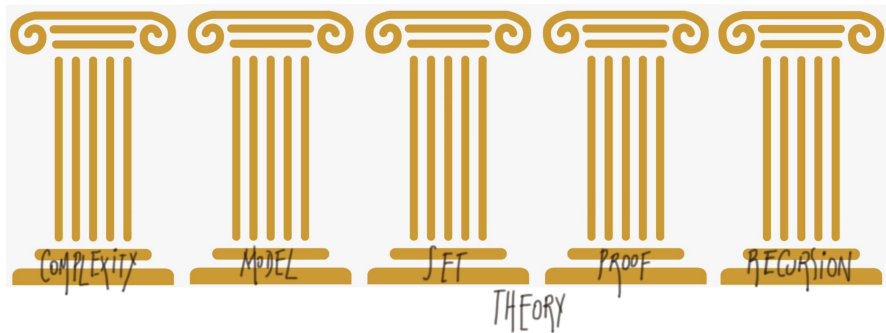
What is Proof Theory?

MATHEMATICAL LOGIC



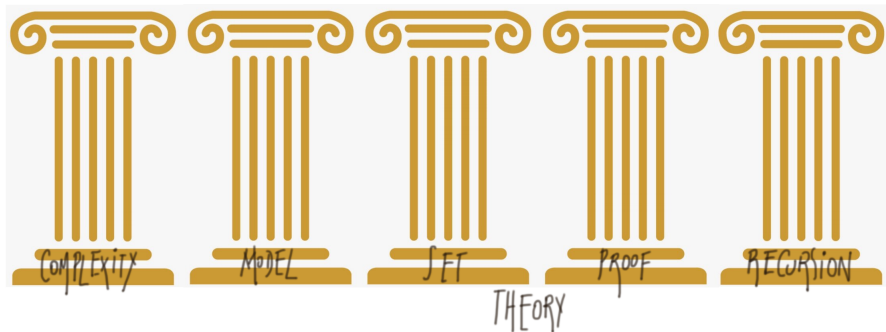
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discipline	mathematical objects	(some) tools
set theory	sets	functions
model theory	models & theories	definable & type-definable sets
complexity theory	algorithms	time & memory
recursion theory	computable functions	algorithms
proof theory	proofs	formalisms

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- ▶ for answering all this: formalisation of proofs in a purely mathematical language;
- ▶ discipline: proof theory;
- ▶ Applications: automatic theorem provers/checkers; extract algorithms from a proof; extract counter-examples from failed proof-search (proof mining); extract proof systems from counter-examples; determine which axioms are required to prove which theorems (reverse mathematics); determine sizes of the proofs (proof complexity).

Reasoning about Brouwer-Heyting-Kolmogorov conditions

H1 A proof of $A \wedge B$ is given by presenting a proof of A and a proof of B .

$$\frac{A \quad B}{A \wedge B} \wedge I$$

H2 A proof of $A \vee B$ is given by presenting either a proof of A or a proof of B .

$$\frac{A}{A \vee B} \vee I_1 \quad \frac{B}{A \vee B} \vee I_2$$

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A problem: prove analyticity! (called normalization)

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Another problems: harmony, pure systems, etc...

Gentzen: sequent calculus

Some *locality*: **sequents** keep track of open assumptions



where $\Gamma = A_1, \dots, A_n$ is the **context**.

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$$\begin{array}{c} A_1 \dots A_n \\ \triangle \\ B \end{array} \quad \rightsquigarrow \quad \begin{array}{c} \triangle \\ A_1 \dots A_n \vdash B \end{array}$$

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$$\frac{\Gamma, A \Rightarrow B}{\Gamma \Rightarrow A \rightarrow B} \rightarrow R \quad \frac{\Gamma \Rightarrow A \quad \Gamma, B \Rightarrow C}{\Gamma, A \rightarrow B \Rightarrow C} \rightarrow L$$

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- ▶ **Analyticity** = **cut-elimination**.

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- ▶ Analyticity \leadsto **sub-formula property**: induces a structure on the proofs (in terms of the end formula).
- ▶ Thus, proof structure can be exploited to formalize reasoning, investigate meta-logical properties of the logic e.g. consistency, decidability, complexity and interpolation, and develop automated deduction procedures.

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The quest for *purity*

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But why (and where) do they disagree?

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A solution: They are not talking about the same connective(s) (Prawitz 2015)

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For an **intuitionistic logician** it is not.

But why (and where) do they disagree?

$$\frac{\frac{\overline{A \Rightarrow A} \text{ init}}{\Rightarrow A, \neg A} \neg R}{\Rightarrow A \vee \neg A} \vee R \qquad \frac{\frac{\frac{A \Rightarrow \perp}{\Rightarrow \neg A} \neg R}{\Rightarrow A \vee \neg A} \vee R_2}{\Rightarrow A \vee \neg A} \text{ ?}$$

A solution: They are not talking about the same connective(s) (Prawitz 2015)

*“The **classical logician** is not asserting what the **intuitionistic logician** denies: **The classical logician** asserts*

$$A \vee_c \neg A$$

*to which the **intuitionist** does not object; He objects to the universal validity of*

$$A \vee_i \neg A,$$

*which is not asserted by the **classical logician**.”*

Prawitz's ecumenical natural deduction system

$$\frac{[A, \neg B] \quad \perp}{A \rightarrow_c B} \rightarrow_c\text{-int}$$

$$\frac{[\neg A, \neg B] \quad \perp}{A \vee_c B} \vee_c\text{-int}$$

$$\frac{[\forall x. \neg A] \quad \perp}{\exists_c x. A} \exists_c\text{-int}$$

Classical

$$\frac{[A] \quad \perp}{\neg A} \neg\text{-int}$$

$$\frac{A \quad B}{A \wedge B} \wedge\text{-int}$$

$$\frac{A(a/x)}{\forall x. A} \forall\text{-int}$$

Shared

$$\frac{[A] \quad B}{A \rightarrow_i B} \rightarrow_i\text{-int}$$

$$\frac{A_j}{A_1 \vee_i A_2} \vee_i^j\text{-int}$$

$$\frac{A(a/x)}{\exists_i x. A} \exists_i\text{-int}$$

Intuitionistic

(Prawitz 2015)

Prawitz's ecumenical natural deduction system

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Intuitionistic

(Prawitz 2015)

Our ecumenical sequent system LE

$$\frac{\Gamma, A, \neg B \Rightarrow \perp}{\Gamma \Rightarrow A \rightarrow_c B} \rightarrow_c R$$

$$\frac{\Gamma, \neg A, \neg B \Rightarrow \perp}{\Gamma \Rightarrow A \vee_c B} \vee_c R$$

$$\frac{\Gamma, \forall x. \neg A \Rightarrow \perp}{\Gamma \Rightarrow \exists_c x. A} \exists_c R$$

Classical

$$\frac{\Gamma, A \Rightarrow \perp}{\Gamma \Rightarrow \neg A} \neg R$$

$$\frac{\Gamma \Rightarrow A \quad \Gamma \Rightarrow B}{\Gamma \Rightarrow A \wedge B} \wedge R$$

$$\frac{\Gamma \Rightarrow A[y/x]}{\Gamma \Rightarrow \forall x. A} \forall R$$

Shared

$$\frac{\Gamma, A \Rightarrow B}{\Gamma \Rightarrow A \rightarrow_i B} \rightarrow_i R$$

$$\frac{\Gamma \Rightarrow A_j}{\Gamma \Rightarrow A_1 \vee_i A_2} \vee_i R_j$$

$$\frac{\Gamma \Rightarrow A[a/x]}{\Gamma \Rightarrow \exists_i x. A} \exists_i R$$

Intuitionistic

(Pimentel, Pereira, de Paiva 2021)

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Intuitionistic

(Pimentel, Pereira, de Paiva 2021)

Theorem

$\Gamma \Rightarrow A$ is provable in LE iff $\vdash_{LE} \bigwedge \Gamma \rightarrow_i A$.

- ▶ The ecumenical entailment is **intuitionistic!**
- ▶ That is, even though some formulas carry with them the notion of classical truth, the logical consequence is **intrinsically intuitionist**.

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- ▶ As it should be, since the ecumenical system embeds the classical behavior into intuitionistic logic. 😊
- ▶ But if A is **classical**, the entailment can be read **classically**.
- ▶ And this justifies, proof-theoretically, the **ecumenical view of entailments** in Prawitz's original proposal.

Proof Theory

Ecumenism

The quest for *purity*

Modalities

Achieving purity

Some discussion

The definition of **classical connectives** depend on other connectives:

$$\begin{array}{c} [\forall x. \neg A] \\ \Pi \\ \frac{\perp}{\exists_c x. A} \exists_c\text{-int} \end{array} \quad \frac{\Gamma, \forall x. \neg A \Rightarrow \perp}{\Gamma \Rightarrow \exists_c x. A} \exists_c R$$

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 [\forall x. \neg A] \\
 \Pi \\
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 \end{array}$$

Purifying systems:

► Polarities

$$\frac{\Gamma_1 \Rightarrow \Delta_1, P \quad \Gamma_2 \Rightarrow \Delta_2, Q}{\Gamma_1, \Gamma_2 \Rightarrow \Delta_1, \Delta_2, P \wedge Q} \wedge_P \quad \frac{\Gamma \Rightarrow \Delta, N \quad \Gamma \Rightarrow \Delta, M}{\Gamma \Rightarrow \Delta, M \wedge N} \wedge_N$$

► Stoup

$$\frac{\Gamma \Rightarrow \Delta; P}{\Gamma \Rightarrow \Delta, P; \cdot} D \quad \frac{\Gamma \Rightarrow \Delta, N; \cdot}{\Gamma \Rightarrow \Delta; N} \text{store}$$

$$\frac{[\cdot; A] \quad \Pi}{\Delta; A \rightarrow_c B} \rightarrow_c\text{-int}$$

$$\frac{[\cdot; A] \quad \Pi}{\Delta; \neg A} \neg\text{-int}$$

$$\frac{[\cdot; A] \quad \Pi}{\Delta; A \rightarrow_i B} \rightarrow_i\text{-int}$$

$$\frac{\Delta, A, B; \cdot}{\Delta; A \vee_c B} \vee_c\text{-int}$$

$$\frac{\Delta_1; A \quad \Delta_2; B}{\Delta_1, \Delta_2; A \wedge B} \wedge\text{-int}$$

$$\frac{\Delta; A_j}{\Delta; A_1 \vee_i A_2} \vee_i^j\text{-int}$$

$$\frac{\Delta, \exists_c x.A; A(a/x)}{\Delta; \exists_c x.A} \exists_c\text{-int}$$

$$\frac{\Delta; A(a/x)}{\Delta; \forall x.A} \forall\text{-int}$$

$$\frac{\Delta; A(a/x)}{\Delta; \exists_i x.A} \exists_i\text{-int}$$

Classical

Shared

Intuitionistic

(Pereira & Pimentel 2023)

The idea:

$$\vdash_{NE} \Gamma \Rightarrow \Delta; \Sigma \quad \text{iff} \quad \vdash_{LE} \Gamma, \neg \Delta \Rightarrow \Sigma$$

A study case: Peirce's Law

Typical proof:

$$\frac{\frac{\frac{[\neg A]^2 \quad [A]^3}{\perp} \neg\text{-elim}}{\frac{B}{A \rightarrow B} \rightarrow\text{-int}} \rightarrow\text{-int}}{A} \rightarrow\text{-elim} \quad \frac{[(A \rightarrow B) \rightarrow A]^1}{[\neg A]^2} \rightarrow\text{-elim}}{1 \frac{2 \frac{A}{(A \rightarrow B) \rightarrow A} \rightarrow\text{-int}}{((A \rightarrow B) \rightarrow A) \rightarrow A} \rightarrow\text{-int}} \rightarrow\text{-int}$$

Ecumenical stoup:

$$\begin{array}{c}
 \frac{\frac{\frac{[\cdot; A]^1}{A, B; \cdot} \text{ der}}{A; A \rightarrow_c B} \rightarrow_c\text{-int}}{[\cdot; (A \rightarrow_c B) \rightarrow_c A]^3} \quad \frac{[\cdot; A]^2}{A; \cdot} \text{ der}}{A; \cdot} \rightarrow_c\text{-elim} \\
 \frac{\quad}{\cdot; ((A \rightarrow_c B) \rightarrow_c A) \rightarrow_c A} \rightarrow_c\text{-int}
 \end{array}$$

A study case: Peirce's Law

Ecumenical stoup:

$$\frac{\frac{\frac{[\cdot; A]^1}{A, B; \cdot} \text{der}}{A; A \rightarrow_c B} \rightarrow_c\text{-int}}{[\cdot; (A \rightarrow_c B) \rightarrow_c A]^3} \quad \frac{[\cdot; A]^2}{A; \cdot} \text{der}}{A; \cdot} \rightarrow_c\text{-elim} \\ \frac{\quad}{\cdot; ((A \rightarrow_c B) \rightarrow_c A) \rightarrow_c A} \rightarrow_c\text{-int} \quad 3$$

More interestingly:

$$\vdash_{\mathcal{LE}} \cdot; ((A \rightarrow_j B) \rightarrow_k A) \rightarrow_c A$$

with $j, k \in \{i, c\}$.

The design of the proof system is not only a matter of taste: adequate proposals for **extensions** and/or **applications**.

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Michel Parigot (trying to establish a link between control operators and classical constructs):

“The difficulties met in trying to use $\neg\neg A \rightarrow A$ (or the classical absurdity rule) as a type for control operators is not really due to classical logic, but much more to the deduction system in which it is expressed. It is not easy to find a satisfactory notion of reduction in usual natural deduction because of the restriction to one conclusion which forbids the most natural transformations of proofs.”

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Parigot's solution: **adopt a system with stoup!**

PARIGOT'S $\lambda\mu$

$$\frac{u: A^x, \Gamma \vdash B, \Sigma}{\lambda x.u: \Gamma \vdash A \rightarrow B, \Sigma}$$

$$\frac{t: \Gamma \vdash A \rightarrow B, \Sigma \quad u: \Gamma' \vdash A, \Sigma'}{(tu): \Gamma, \Gamma' \vdash B, \Sigma, \Sigma'}$$

$$\frac{t: \Gamma \vdash A, \Sigma}{[\alpha]t: \Gamma \vdash A^\alpha, \Sigma}$$

This is
dereliction ↗

$$\frac{e: \Gamma \vdash A^\alpha, \Sigma}{\mu \alpha.e: \Gamma \vdash A, \Sigma}$$

↖ this adds
any formula
to the classical
context

This is the
sole responsible
for μ being classical
↓
WE
CANNOT
HAVE THIS
RULE! ↓

$$\begin{array}{c}
 \frac{}{\Gamma, x : A \vdash x : A; \Delta} \text{ax} \\
 \\
 \frac{\Gamma, x : A \vdash t : B; \Delta}{\Gamma \vdash \lambda x. t : A \rightarrow_i B; \Delta} \text{I} \rightarrow_i \quad \frac{\Gamma \vdash t : A \rightarrow_i B; \Delta \quad \Gamma \vdash s : A; \Delta \quad \Gamma, x : B \vdash r : C; \Delta}{\Gamma \vdash t(s, x.r) : C; \Delta} \text{E} \rightarrow_i \\
 \\
 \frac{\Gamma, x : A \vdash c : \perp; \Delta \cup \{\alpha : B\}}{\Gamma \vdash \mu(x, \alpha). c : A \rightarrow_c B; \Delta} \text{I} \rightarrow_c \quad \frac{\Gamma \vdash t : A \rightarrow_c B; \Delta \quad \Gamma \vdash s : A; \Delta \quad \Gamma, x : B \vdash c : \perp; \Delta}{\Gamma \vdash t[s, x.c] : \perp; \Delta} \text{E} \rightarrow_c \\
 \\
 \frac{\Gamma \vdash t : A; \Delta}{\Gamma \vdash [\alpha] t : \perp; \Delta \cup \{\alpha : A\}} \text{der} \quad \frac{\Gamma \vdash c : \perp; \Delta}{\Gamma \vdash \#c : B; \Delta} \text{W}_i
 \end{array}$$

Rewriting Logic (Maude)

`r1 [tensorR] : Gamma, Delta |- F x G => (Gamma |- F) , (Delta |-G) .`

Rewriting Logic (Maude)

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Gap between what is represented and its representation

Rewriting Logic can rightfully be said to have “ ϵ -representational distance” as a semantic and logical framework. (José Meseguer)

Application II: verification

Rewriting Logic (Maude)

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Rewriting logic: **Equational theory + rewriting rules**

Application II: verification

Rewriting Logic (Maude)

r1 [tensorR] : $\Gamma, \Delta \vdash F \times G \Rightarrow (\Gamma \vdash F) , (\Delta \vdash G) .$

Gap between what is represented and its representation

Rewriting Logic can rightfully be said to have “ ϵ -representational distance” as a semantic and logical framework. (José Meseguer)

Rewriting logic: **Equational theory + rewriting rules**

L-framework (invertibility):

- Case rule $\forall_i R1$

$$\frac{h_1 : \Delta_2 \vdash \Delta_3 @ F_4}{\bullet h_1 : \Delta_2 \vdash \Delta_3 @ F_4 \forall_i F_5} \forall_i R1 \quad \rightsquigarrow \quad \frac{\overline{h_1 : \Delta_2 \vdash \Delta_3 @ F_4}^{\text{ax}}}{\bullet h_1 : \Delta_2 \vdash \Delta_3 @ F_4}^{\text{height}}$$

- Case rule $\forall_i R2$

$$\frac{h_1 : \Delta_2 \vdash \Delta_3 @ F_5}{\bullet h_1 : \Delta_2 \vdash \Delta_3 @ F_4 \forall_i F_5} \forall_i R2 \quad \rightsquigarrow \quad \overline{\bullet h_1 : \Delta_2 \vdash \Delta_3 @ F_4}^{\text{fail}}$$

Proof Theory

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Some discussion

What is Modal Logic?

Carlos _____ handsome.

What is Modal Logic?

Classical logic: truth

Carlos _____ *is* _____ handsome.

What is Modal Logic?

Classical logic: truth

Carlos _____ *is not* _____ handsome.

What is Modal Logic?

Modal logic: qualifies truth

Carlos is necessarily handsome.

What is Modal Logic?

Modal logic: qualifies truth

Carlos _____ handsome.
is necessarily
possibly

What is Modal Logic?

Modal logic: qualifies truth

Carlos _____ *is necessarily* handsome.

possibly



alethic interpretation

What is Modal Logic?

Modal logic: qualifies truth

Carlos is known to be handsome.

What is Modal Logic?

Modal logic: qualifies truth

Carlos is known to be handsome. (by me)



epistemic interpretation

What is Modal Logic?

Modal logic: qualifies truth

Carlos is believed to be handsome. (by me)



doxastic interpretation

What is Modal Logic?

Modal logic: qualifies truth

Carlos is obliged to be handsome.

What is Modal Logic?

Modal logic: qualifies truth

Carlos is obliged to be handsome.

permission
prohibition



deontic interpretation

What is Modal Logic?

Modal logic: qualifies truth

Carlos _____ *is now* _____ handsome.

What is Modal Logic?

Modal logic: qualifies truth

Carlos _____ *is now* _____ handsome.

will be



temporal interpretation

Alethic interpretation

Carlos is necessarily handsome.

Alethic interpretation

necessarily Carlos *is* _____ handsome.

Alethic interpretation

p = Carlos *is* handsome

necessarily p

Alethic interpretation

p = Carlos *is* handsome

$\Box p$

Alethic interpretation

Carlos is possibly handsome.

Alethic interpretation

possibly Carlos *is* _____ handsome.

Alethic interpretation

p = Carlos *is* handsome

possibly p

Alethic interpretation

p = Carlos *is* handsome

$\Diamond p$

Truth table

A	B	$A \rightarrow B$
1	1	1
1	0	0
0	1	1
0	0	1

Truth table

p	q	$p \rightarrow q$
1	1	1
1	0	0
0	1	1
0	0	1

Truth tables

w

<i>p</i>	<i>q</i>	$p \rightarrow q$
1	1	1
1	0	0
0	1	1
0	0	1

Generalizing

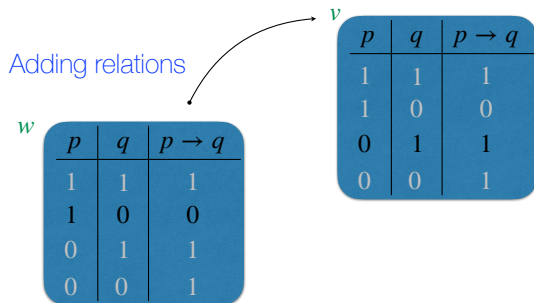
w

<i>p</i>	<i>q</i>	$p \rightarrow q$
1	1	1
1	0	0
0	1	1
0	0	1

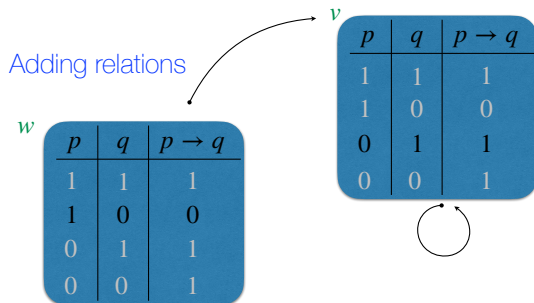
v

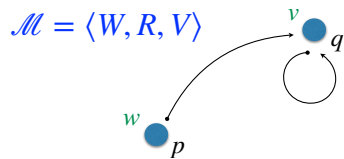
<i>p</i>	<i>q</i>	$p \rightarrow q$
1	1	1
1	0	0
0	1	1
0	0	1

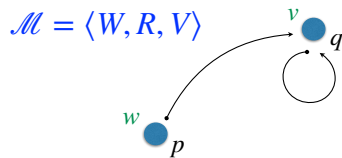
Relational models



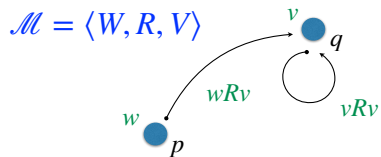
Relational models



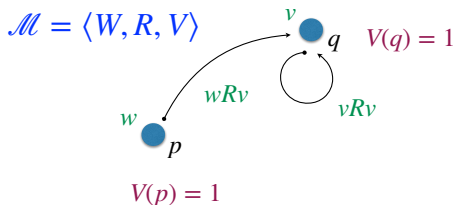




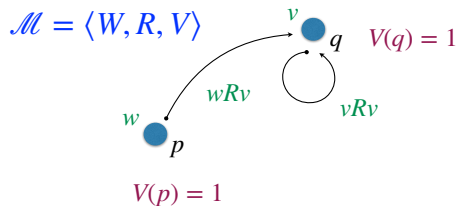
W is a non-empty set of possible worlds.



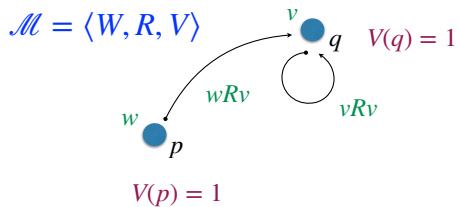
R is the *relative accessibility* relation:
from the point of view of w , v is possible.



V assigns a truth value to a propositional variable at a world.

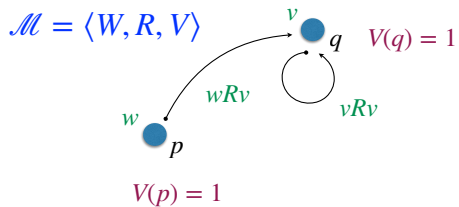


For non-atomic propositional formulas:
Just check the truth table
in each world!

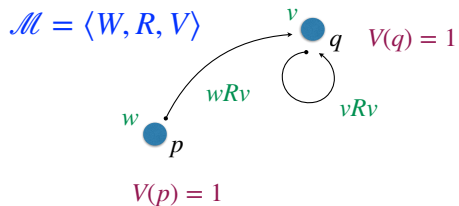


$$\mathcal{M}, w \not\models p \rightarrow q$$

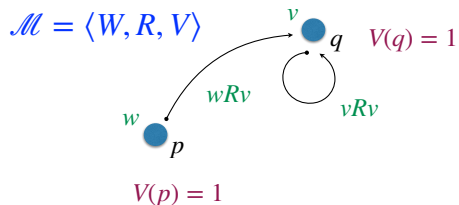
$$\mathcal{M}, v \models p \rightarrow q$$



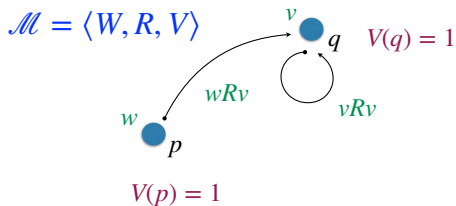
How about modal formulas?



A is *necessary at a world u* provided A is *true at every* possible world from u .



A is *possible at a world u* provided A is *true at some* possible world from u .



$$\mathcal{M}, w \not\models \Box p$$

$$\mathcal{M}, v \not\models \Box p$$

$$\mathcal{M}, w \not\models \Box q$$

$$\mathcal{M}, v \not\models \Box q$$

$$\mathcal{M}, w \not\models \Box (p \rightarrow q)$$

$$\mathcal{M}, v \not\models \Box (p \rightarrow q)$$

$\mathcal{M}, w \Vdash p$	iff	$p \in V(w)$;
$\mathcal{M}, w \Vdash \perp$		never holds;
$\mathcal{M}, w \Vdash \neg A$	iff	$\forall v \geq w. \mathcal{M}, v \not\Vdash A$;
$\mathcal{M}, w \Vdash A \wedge B$	iff	$\mathcal{M}, w \Vdash A$ and $\mathcal{M}, w \Vdash B$;
$\mathcal{M}, w \Vdash A \vee B$	iff	$\mathcal{M}, w \Vdash A$ or $\mathcal{M}, w \Vdash B$;
$\mathcal{M}, w \Vdash A \rightarrow B$	iff	$\mathcal{M}, w \not\Vdash A$ or $\mathcal{M}, w \Vdash B$;
$\mathcal{M}, w \Vdash \Box A$	iff	for all v . wRv implies $\mathcal{M}, v \Vdash A$;
$\mathcal{M}, w \Vdash \Diamond A$	iff	there exists v . wRv and $\mathcal{M}, v \Vdash A$.

$$[\Box A]_x = \forall y(R(x, y) \rightarrow [A]_y) \quad [\Diamond A]_x = \exists y(R(x, y) \wedge [A]_y)$$

$$[\Box A]_x = \forall y(R(x, y) \rightarrow [A]_y) \quad [\Diamond A]_x = \exists y(R(x, y) \wedge [A]_y)$$

$\mathcal{M}, w \models \Box A$ iff for all v such that wRv , $\mathcal{M}, v \models A$.

$\mathcal{M}, w \models \Diamond A$ iff there exists v such that wRv and $\mathcal{M}, v \models A$.

$R(x, y)$ represents the **accessibility relation** R in a Kripke frame.

$$[\Box A]_x = \forall y(R(x, y) \rightarrow [A]_y) \quad [\Diamond A]_x = \exists y(R(x, y) \wedge [A]_y)$$

$$\vdash_{OL} A \quad \text{iff} \quad \vdash_{ML} \forall x.[A]_x$$

- ▶ ML = classical logic \rightsquigarrow OL = classical modal logic K.
- ▶ ML = intuitionistic logic \rightsquigarrow OL = intuitionistic modal logic IK.
- ▶ ML = Ecumenical logic \rightsquigarrow OL = Ecumenical modal logic EK.

$$[\Box A]_x^e = \forall y(R(x, y) \rightarrow_i [A]_y^e)$$

$$[\Diamond_i A]_x^e = \exists_i y(R(x, y) \wedge [A]_y^e) \quad [\Diamond_c A]_x^e = \exists_c y(R(x, y) \wedge [A]_y^e)$$



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- ▶ $\Diamond_c A \leftrightarrow_i \neg \Box \neg A$ but $\Diamond_i A \not\leftrightarrow_i \neg \Box \neg A$.
- ▶ Restricted to the classical fragment: \Box and \Diamond_c are duals.

Ecumenical Modal Logic

- Formulas: $A ::= p_i \mid p_c \mid \perp \mid A \wedge A \mid A \vee_i A \mid A \vee_c A \mid A \rightarrow_i A \mid A \rightarrow_c A \mid \Box A \mid \Diamond_i A \mid \Diamond_c A$

- Independence** of the modalities

- Axioms: **ecumenical** propositional logic and

$$k_1: \Box(A \rightarrow_i B) \rightarrow_i (\Box A \rightarrow_i \Box B) \quad \text{EK (Marin et al. 2020)}$$

$$k_2: \Box(A \rightarrow_i B) \rightarrow_i (\Diamond_i A \rightarrow_i \Diamond_i B)$$

$$k_3: \Diamond_i(A \vee_i B) \rightarrow_i (\Diamond A \vee_i \Diamond B)$$

$$k_4: (\Diamond_i A \rightarrow_i \Box B) \rightarrow_i \Box(A \rightarrow_i B)$$

$$k_5: \neg \Diamond_i \perp$$

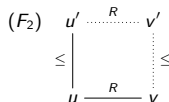
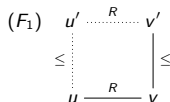
- Rules: modus ponens: $\frac{A \quad A \rightarrow B}{B}$ necessitation: $\frac{A}{\Box A}$

- Semantics: **Ecumenical Birelational structures** (W, R, \leq)

a non-empty set W of **worlds**;

a binary relation $R \subseteq W \times W$;

a **preorder** \leq on W .



Ecumenical Modal Logic

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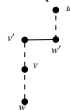
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$$\mathcal{M}, w \models_E \Diamond_c A \text{ iff } \forall v \geq w. \exists u. v (\leq \circ R \circ \leq) u, \mathcal{M}, u \models_E A$$

Labeled modal rules:

$$\frac{x : \Box \neg A, \Gamma \vdash x : \perp}{\Gamma \vdash x : \Diamond_c A} \Diamond_c R$$

$$\frac{x R y, \Gamma \vdash y : A}{\Gamma \vdash x : \Box A} \Box R$$

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Extensions:

Axiom	Condition	First-Order Formula
T : $\Box A \rightarrow_i A \wedge A \rightarrow_i \Diamond_i A$	Reflexivity	$\forall x. R(x, x)$
4 : $\Box A \rightarrow_i \Box \Box A \wedge \Diamond_i \Diamond_i A \rightarrow_i \Diamond_i A$	Transitivity	$\forall x, y, z. (R(x, y) \wedge R(y, z)) \rightarrow_i R(x, z)$
5 : $\Box A \rightarrow_i \Box \Diamond_i A \wedge \Diamond_i \Box A \rightarrow_i \Diamond_i A$	Euclideaness	$\forall x, y, z. (R(x, y) \wedge R(x, z)) \rightarrow_i R(y, z)$
B : $A \rightarrow_i \Box \Diamond_i A \wedge \Diamond_i \Box A \rightarrow_i A$	Symmetry	$\forall x, y. R(x, y) \rightarrow_i R(y, x)$

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Rules:

$$\frac{xRx, \Gamma \vdash w : C}{\Gamma \vdash w : C} \quad T$$

$$\frac{xRz, \Gamma \vdash w : C}{xRy, yRz, \Gamma \vdash w : C} \quad 4$$

$$\frac{yRz, \Gamma \vdash w : C}{xRy, xRz, \Gamma \vdash w : C} \quad 5$$

$$\frac{yRx, \Gamma \vdash w : C}{xRy, \Gamma \vdash w : C} \quad B$$

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LE

$\Gamma, \neg\Delta \vdash C$

Getting rid of negation

$$\boxed{\text{LE}} \quad \longrightarrow \quad \boxed{\text{LCE}}$$
$$\Gamma, \neg\Delta \vdash C \qquad \qquad \qquad \Gamma \vdash \Delta; C$$

Getting rid of negation

LE



LCE

$\Gamma, \neg\Delta \vdash C$

$\Gamma \vdash \Delta; C$

$$\frac{\Gamma, A \vdash B}{\Gamma \vdash A \rightarrow_i B} \rightarrow_i R$$

Getting rid of negation

LE



LCE

$$\frac{\Gamma, \neg\Delta \vdash C}{\Gamma, A \vdash B} \rightarrow_i R$$
$$\frac{\Gamma, A \vdash B}{\Gamma \vdash A \rightarrow_i B} \rightarrow_i R$$

$$\frac{\Gamma \vdash \Delta; C}{\Gamma, A \vdash \Delta; B} \rightarrow_i R$$
$$\frac{\Gamma, A \vdash \Delta; B}{\Gamma \vdash \Delta; A \rightarrow_i B} \rightarrow_i R$$

Getting rid of negation

LE



LCE

$$\frac{\Gamma, \neg\Delta \vdash C}{\Gamma, A \vdash B} \rightarrow_i R$$
$$\frac{\Gamma, A, \neg B \vdash \perp}{\Gamma \vdash A \rightarrow_c B} \rightarrow_c R$$

$$\frac{\Gamma \vdash \Delta; C}{\Gamma, A \vdash \Delta; B} \rightarrow_i R$$
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LCE

$$\begin{array}{c} \Gamma, \neg\Delta \vdash C \\ \frac{\Gamma, A \vdash B}{\Gamma \vdash A \rightarrow_i B} \rightarrow_i R \\ \frac{\Gamma, A, \neg B \vdash \perp}{\Gamma \vdash A \rightarrow_c B} \rightarrow_c R \end{array}$$

$$\begin{array}{c} \Gamma \vdash \Delta; C \\ \frac{\Gamma, A \vdash \Delta; B}{\Gamma \vdash \Delta; A \rightarrow_i B} \rightarrow_i R \\ \frac{\Gamma, A \vdash B, \Delta; \cdot}{\Gamma \vdash A \rightarrow_c B, \Delta; \cdot} \rightarrow_c R \end{array}$$

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labEK

$$\frac{x : \Box \neg A, \Gamma \Rightarrow x : \perp}{\Gamma \Rightarrow x : \Diamond A} \diamond_c R$$

Getting rid of negation

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$$\frac{\Gamma, \neg\Delta \vdash C}{\Gamma, A \vdash B} \rightarrow_i R$$

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labEK



Pure labEK

$$\frac{x : \Box \neg A, \Gamma \Rightarrow x : \perp}{\Gamma \Rightarrow x : \Diamond_c A} \Diamond_c R$$

$$\frac{xRy, \Gamma \vdash y : A, x : \Diamond_c A, \Delta; \cdot}{xRy, \Gamma \vdash x : \Diamond_c A, \Delta; \cdot} \Diamond_c R$$

► Polarities:

$$N := p_c \mid \perp \mid A \vee_c A \mid A \rightarrow_c A \mid \diamond_c A$$

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Getting rid of labels

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► Harmony:

$$\frac{\Gamma \vdash \Delta; x : P \quad x : P, \Gamma \vdash \Delta; \Pi}{\Gamma \vdash \Delta; \Pi} \text{cut}_i \qquad \frac{\Gamma \vdash \Delta, x : N; \Pi^* \quad x : N, \Gamma \vdash \Delta; \Pi}{\Gamma \vdash \Delta; \Pi} \text{cut}_c$$

where Π^* is either empty or some $y : P \in \Delta$

Getting rid of labels

- ▶ Polarities:

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- ▶ Harmony:

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where Π^* is either empty or some $y : P \in \Delta$

- ▶ Internal **nested** systems – no labels! nEK (Marin et al. 2021).

$$xRy, xRz, z : C \wedge D \Rightarrow x : \diamond_c A; y : \neg B$$

corresponds to the tree of sequents with stoup

$$\begin{array}{ccc} \Rightarrow ;, \neg B & & C \wedge D \Rightarrow ;, \cdot \\ & \searrow & \swarrow \\ & \Rightarrow \diamond_c A; \cdot & \end{array}$$

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Ecumenical systems may help us to have a better understanding of the relation between **classical logic** and **intuitionistic** logics.

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- ▶ discuss the precise detection of the parts of a **mathematical proof** that are intrinsically intuitionistic, classical or independent;
- ▶ other approaches: how about **negation**?
- ▶ ecumenical nature of **atoms**.

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- ▶ Nothing is said about the basic relations used for generating atomic formulas.
- ▶ Should atoms be primitive relations or be defined?
- ▶ Moreover: the presence of classical and intuitionistic “interpretations” of predicates entails a double-negation flavor to the system!
- ▶ Recent work with Luiz Carlos and Valeria: ecumenical systems with no such interpretations. The constructive interpretation **interpolates** the Gödel-Gentzen translation:

$$\begin{array}{ccc} & [I]^{\circ} & \\ \begin{array}{c} \nearrow [\cdot]^{\circ} \\ \searrow [\cdot]^{-} \end{array} & & \\ I & \xrightarrow{g} & [[I]^{\circ}]^{-} \end{array}$$

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- ▶ algebraic ecumenical **models**?
- ▶ Model-theoretic semantics: **truth** × Proof-theoretic semantics: **proof**
- ▶ Emphasizes the fundamental nature of proofs.
- ▶ Satisfiability of an atomic formula p at a state w in a Kripke model:

$$w \Vdash p \quad \text{iff} \quad w \in V(p)$$

Validity w.r.t. a set of atomic rules S in proof-theoretic semantics:

$$\Vdash_S p \quad \text{iff} \quad \vdash_S p$$

- ▶ Recent work with Victor and Luiz Carlos: proof-theoretic semantics for ecumenical logical systems. Main motto:

Classical proof + monotonicity = intuitionistic proof of double negation.

What can we say about **modal** ecumenical systems?

- ▶ **constructive** modal logic and beyond;
- ▶ algebraic ecumenical **models**?
- ▶ ecumenical **typing**: fragments as well known typed modal systems;
- ▶ ecumenical nature of **atoms**.

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Connectedness property:

$$(Conn_1) \quad aRb \vee bRa \quad (Conn_2) \quad \neg(a = b) \rightarrow aRb \vee bRa$$

If R is reflexive, then

$$\vdash_{LK} Conn_2 \rightarrow Conn_1 \text{ but } \not\vdash_{LJ} Conn_2 \rightarrow Conn_1$$

- ▶ **Background logic = classical logic** \Rightarrow the same characterization of S4.3 by using $Conn_1$ or $Conn_2$.
- ▶ **Background logic = intuitionistic logic** \Rightarrow two different modal extensions.
- ▶ Alberto Naibo: "Would this make any difference at the level of the modal systems that we can characterize using an intuitionistic background logic?"

Thanks!!!

Obrigada!!!

Merci!!!

Gracias!!!

