

From Coalgebraic to Monoidal Traces

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Outline

- 1 Monoidal Traces
- 2 Coalgebraic traces and iteration
- 3 Additive and semi-additive monads
- 4 Results

Traced monoidal categories

Feedback operator in monoidal category:

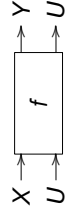
$$\frac{X \otimes U \xrightarrow{f} Y \otimes U}{X \xrightarrow{\text{Tr}(f)} Y}$$

Satisfying a bunch of requirements.

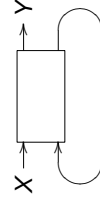
- Introduced by Joyal-Street-Verity, 1996
- Intuitive string notation possible

Traces, pictorially

A map $X \otimes U \xrightarrow{f} Y \otimes U$ becomes:



And its trace $X \xrightarrow{\text{Tr}(f)} Y$ is:



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Informal distinction

- For “multiplicative” tensor \otimes
wave-style trace
- For “additive” coproduct/biproduct \oplus ,
particle-style trace

Here we use the latter, in Kleisli categories of monads.

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Standard examples

- Usual **summation trace** in finite-dimensional vector spaces:

$$\text{Tr}(X \otimes U \xrightarrow{M} Y \otimes U)_{x,y} = \sum_u M_{x \otimes u, y \otimes u}$$

- **Fixed point** in Cpos with bottom:

$$\text{Tr}(X \times U \xrightarrow{f} Y \times U)(x) = \pi_1(\text{fix}(\lambda(y, u). f(x, u)))$$

- **Iteration** in pointed sets / sets with partial functions:

$$\text{Tr}(X + U \xrightarrow{f} Y + U)(x) = \begin{cases} y & \text{if } \exists u_1, \dots, u_n \in U. f(x) = u_1 \\ \dots f(u_i) = u_{i+1} \dots f(u_n) = y & \text{otherwise} \end{cases} \perp$$

The latter will be generalised to Kleisli categories.

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Basic construction

JSV'96: for a traced monoidal category \mathbb{C} , construct compact closed category $\mathbf{Int}(\mathbb{C})$ with:

Obj $(X^+, X^-) \in \mathbb{C} \times \mathbb{C}$

Mor $(X^+, X^-) \xrightarrow{f} (Y^+, Y^-)$ are $X^+ \otimes Y^- \xrightarrow{f} Y^+ \otimes X^-$ in \mathbb{C} .

- Composition via trace / feedback
- Applications in linear logic: game semantics / geometry of interaction.

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Question

- Is there a connection between coalgebraic and monoidal traces?
- Or is this just a coincidence of names?

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Iteration via coalgebraic traces II

$$\begin{array}{ccc} X & \xrightarrow{c} & T(Y + X) \\ X & \xrightarrow{\text{tr}(c)} & T(\mathbb{N} \cdot Y) \end{array}$$

- Intuition:

$$\text{tr}(c)(x) = (n, y) \iff \begin{cases} y \text{ is reached after } n \text{ iterations} \\ \text{of } c, \text{ starting in } x \end{cases}$$

- Post-composition with codiagonal $\mathbb{N} \cdot Y \xrightarrow{\nabla} Y$ ignores number of iterations, and yields an **iteration** operation:

$$\mathcal{K}\ell(\mathbb{T})(X, Y + X) \xrightarrow{(-)^{\#}} \mathcal{K}\ell(\mathbb{T})(X, Y)$$

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Basic result [HJS07]

Assuming:

- a monad $T : \mathbb{C} \rightarrow \mathbb{C}$ whose Kleisli category $\mathcal{K}\ell(T)$ is **CPO**_⊥-enriched
- a functor $F : \mathbb{C} \rightarrow \mathbb{C}$ with a distributive law $FT \Rightarrow TF$, giving a lifting $\bar{F} : \mathcal{K}\ell(T) \rightarrow \mathcal{K}\ell(T)$
- some minor details ...

Initial algebra $F(A) \xrightarrow{\cong} A$ in \mathbb{C} forms final coalgebra in $\mathcal{K}\ell(T)$

Concretely:

$$\begin{array}{ccc} X & \xrightarrow{c} & T(F(X)) \\ X & \xrightarrow{\text{tr}(c)} & T(A) \end{array}$$

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Iteration via coalgebraic traces I

- Assume \mathbb{C} has (countable) coproducts \amalg
- The functor $Y + (-) : \mathbb{C} \rightarrow \mathbb{C}$ then has an initial algebra:
copower: $\mathbb{N} \cdot Y = \amalg_{i \in \mathbb{N}} Y$
- There is always a distributive law $Y + T(X) \rightarrow T(Y + X)$.
- Hence we can apply the Trace Theorem: $\mathbb{N} \cdot Y$ is final in $\mathcal{K}\ell(T)$.

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Towards a monoidal trace

For $f : X + U \rightarrow Y + U$ in $\mathcal{K}\ell(T)$, by finality:

$$\begin{array}{ccc} X & \xrightarrow{\kappa_\ell} & X + U \xrightarrow{\text{tr}(f)} \mathbb{N} \cdot Y \xrightarrow{\nabla} Y \\ & & \uparrow \cong \\ & & Y + (X + U) \xrightarrow{id + \text{tr}(f)} Y + \mathbb{N} \cdot Y \end{array}$$

$\hat{f} = (id + \kappa_r) \circ f$ $\hat{f}^{\#}$ $\text{Tr}(f)$

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How this work progressed . . .

- 1 Coalgebraic trace definition $\text{Tr}(f)$ from previous slide
- 2 Proof of trace properties: steady progress . . .
- 3 . . . failure with “vanishing II”: trace over tensor is double trace
- 4 closer inspection showed:
 - special property of coproducts in $\mathcal{Kl}(T)$ was needed
 - PhD thesis (Ottawa, 2000) of Esfandiar Haghverdi covers this: every *partially additive category* is *traced monoidal*
- 5 Hence: partial additivity in $\mathcal{Kl}(T)$ is needed (and trace is derived from this partial additivity)

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Additivity I

Assume $T(0)$ is final, and form “zero-map” in $\mathcal{Kl}(T)$,

$$0 = \left(X \xrightarrow{!} T(0) \xrightarrow{T(!)} T(Y) \right)$$

Form “projections” for $+$ in $\mathcal{Kl}(T)$,

$$p_1 = \left(X + Y \xrightarrow{[!, 0]} T(X) \right) \quad p_2 = \left(X + Y \xrightarrow{[0, !]} T(Y) \right)$$

and then a special map, connecting coproducts and products:

$$\text{bc} = \left(T(X + Y) \xrightarrow{\langle \mu \circ T(p_1), \mu \circ T(p_2) \rangle} T(X) \times T(Y) \right)$$

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Partial additivity

In this CMCS paper: call monad T **partially additive** if bc is **cartesian** natural transformation:

- each map $\text{bc}: T(X + Y) \rightarrow T(X) \times T(Y)$ is mono
- naturality squares are pullbacks

EXAMPLES Powerset, lift, distribution

For $f_i: X \rightarrow T(Y)$ say $\text{LI}_i \in \mathcal{L}f_i = \nabla \circ b: X \rightarrow T(Y)$ exists if there is a “bound” $b: X \rightarrow T(I \cdot Y)$ exists with $p_i \circ b = f_i$.

This makes the Kleisli category partially additive.

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Initial & final objects

Assume \mathbb{C} has finite products $(1, \times)$ and coproducts $(0, +)$.

LEMMA For a monad $T: \mathbb{C} \rightarrow \mathbb{C}$ the following are equivalent.

- 1 $T(0)$ is final, i.e. $T(0) \cong 1$
- 2 $0 \in \mathcal{Kl}(T)$ is final (and hence zero-object)
- 3 $1 \in \text{Alg}(T)$ is initial (and hence zero-object)

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Additivity II

THEOREM [Counmans & Jacobs 2010]

The following are equivalent

- 1 These $\text{bc}: T(X + Y) \rightarrow T(X) \times T(Y)$ are isomorphism
- 2 $+$ in $\mathcal{Kl}(T)$ is product (and hence biproduct)
- 3 \times in $\text{Alg}(T)$ is coproduct (and hence biproduct)

In this case we call the monad T **additive**.

EXAMPLES

- T is powerset: explains why categories of relations (Kleisli) and complete lattices (algebras) have biproducts
- T is multiset monad: explains why commutative monoids/groups and modules/vector spaces have biproducts.

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Main result

Let T be a semi-additive monad whose Kleisli category $\mathcal{Kl}(T)$ is \mathbf{CPO}_\perp enriched, on a category \mathbb{C} with (countable) coproducts, then:

- $\mathcal{Kl}(T)$ is traced monoidal (via $+$, particle style)
- the monoidal trace $\text{Tr}(f)$ can be described via the coalgebraic trace.

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- Write $\mathcal{B}d(T) = \text{Int}(\mathcal{K}\ell(T))$ for the category of "bidirectional monadic computation", with maps $X^+ + Y^- \rightarrow T(Y^+ + X^-)$

For lift monad: Abramsky's category of games.

Other examples, e.g. probabilistic games for distribution monad, require more study.

- Trace/feedback operator for coalgebraic components: new paper in preparation

- Coincidence of names not a coincidence!
- Basic properties of monads identified: (partial) additivity
- New class of examples of traced monoidal categories
- Follow-up work: bidirectional computation & traces for components.