

Affine Monads and Side-Effect-Freeness

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Outline

Context: effectus theory and side-effects

Affine monads

Predicates and instruments

Main results

Conclusions



Where we are, sofar

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Quantum



Quantum

- ▶ Quantum computation and logic is a fascinating area
- ▶ “Hot topic”, because of all the buzz about quantum computers
- ▶ Potentially large impact, esp. in **security**
 - existing (public key) algorithms are vulnerable
 - new research area: “post-quantum crypto”
- ▶ New challenges for existing concepts in (theoretical) CS
 - three overlapping areas: physics, math, CS
 - John Baez: category theory is “Rosetta Stone”
- ▶ Strong **coalgebraic** flavour
 - “states” play an important role
 - quantum observations can have a side-effect (state-change)



Logic, side-effects, and commutativity



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- ▶ If predicates can have side-effects, commutativity is no longer obvious. Conjunction should be used as 'and-then'
- ▶ This plays an important role in the quantum world — and also in imperative programming where $\&$ (and $\&\&$) are not commutative



Effectus theory



Effectus theory

- ▶ Own (group's) work has led to a new categorical notion: **effectus**
 - it's a certain kind of category, with 0 , $+$, 1 , some pullbacks, and some jointly monic maps
 - its predicates form **effect modules**, its states are **convex sets**, and together they form a “state-and-effect” triangle



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- ▶ **We have:** in the probabilistic and Boolean case, instruments are side-effect-free, **but not** in the quantum case!



Overview: subclasses of effectuses (ArXiv, 1512.05813)

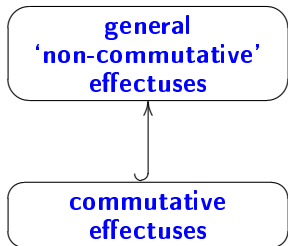


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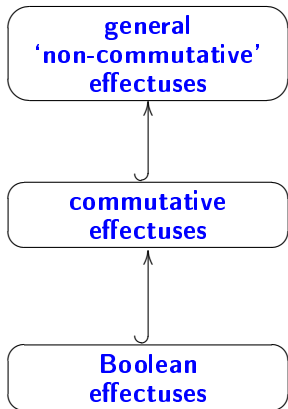
general
'non-commutative'
effectuses



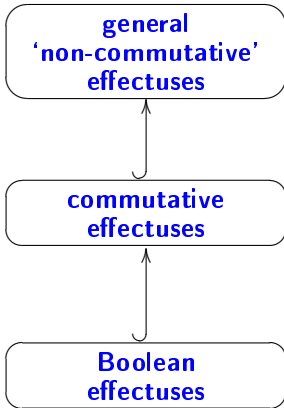
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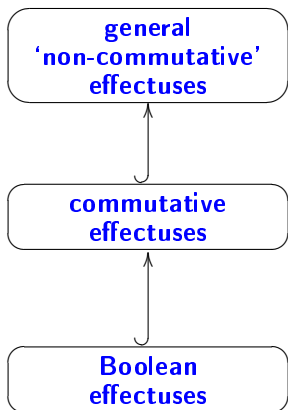
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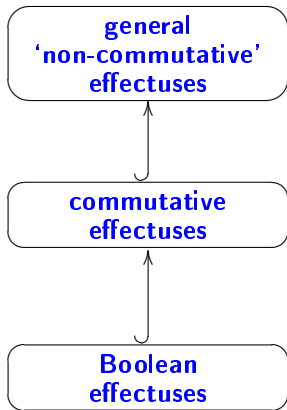


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Sets,
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Theorem (See Effectus Intro paper on ArXiv)

The Boolean effectuses are precisely the extensive categories (with 1).



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Wild conjecture

Commutative effectuses are Kleisli categories of a commutative monad



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Examples: $Kl(\mathcal{D})$ $Kl(\mathcal{G})$ $Kl(\mathcal{E})$ $Kl(\mathcal{R}) \simeq \mathbf{CvNA}^{\text{op}}$...



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- ▶ Is there a relation between **commutativity** in effectuses and **commutativity** of monads?
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These questions have “good” answers

- ▶ they are first steps towards the *wild conjecture*



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 - where \times distributes over $+$
- ▶ We assume a monad $T: \mathbf{C} \rightarrow \mathbf{C}$
- ▶ The monad is **strong** if there is a **strength** map $st_1: T(X) \times Y \rightarrow T(X \times Y)$ suitably commuting with other structure
 - by swapping we get $st_2: X \times T(Y) \rightarrow T(X \times Y)$



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Note: if T is affine, then 1 is **final** in $\mathcal{Kl}(T)$.



Affine submonad



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Assuming enough pullbacks, the **affine submonad** $T_a \rightarrow T$ is defined via:

$$\begin{array}{ccc} T_a(X) & \longrightarrow & 1 \\ \downarrow & \lrcorner & \downarrow \eta \\ T(X) & \xrightarrow{T(!)} & T(1) \end{array}$$



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- ▶ if T is strong / commutative then so is T_a



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Causal maps



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$$\tilde{T}_X \stackrel{\text{def}}{=} \left(X \xrightarrow{!x} 1 \xrightarrow{\eta_1} T(1) \right)$$



Causal maps

Write:

$$\ddot{\tau}_X \stackrel{\text{def}}{=} \left(X \xrightarrow{!x} 1 \xrightarrow{\eta_1} T(1) \right)$$

Definition

A map $f: X \rightarrow T(Y)$ is called **causal** if $\ddot{\tau}_Y \bullet f = \ddot{\tau}_X$, where \bullet is Kleisli composition.



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Example: maps $X \rightarrow \mathcal{D}(Y)$ are causal maps $X \rightarrow \mathcal{M}_{\mathbb{R}_{\geq 0}}(Y)$.



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- ▶ There are **truth** and **false** predicates:

$$\mathbf{1} = \left(X \rightarrow 1 \xrightarrow{\kappa_1} 2 \xrightarrow{\eta} T(2) \right) \quad \mathbf{0} = \left(X \rightarrow 1 \xrightarrow{\kappa_2} 2 \xrightarrow{\eta} T(2) \right)$$



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- ▶ There is also **negation** / **orthosupplement**

$$p^\perp = \left(X \xrightarrow{p} T(1 + 1) \xrightarrow[\cong]{T([\kappa_2, \kappa_1])} T(1 + 1) \right)$$



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- ▶ In many (probabilistic) examples, predicates are maps $X \rightarrow [0, 1]$



Instruments



Instruments

For a predicate $p: X \rightarrow 1 + 1$ we define an **instrument**
 $\text{instr}_p: X \rightarrow X + X$ in $\mathcal{Kl}(T)$ as:

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- ▶ The instrument is called **side-effect-free** if:

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Instruments

For a predicate $p: X \rightarrow 1 + 1$ we define an **instrument** $\text{instr}_p: X \rightarrow X + X$ in $\mathcal{Kl}(T)$ as:

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Lemma

If T is affine, then each instrument is side-effect-free



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- ▶ For the (affine) **non-empty** powerset the case $p(x) = \emptyset$ does not occur, so we get side-effect-freeness.



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This instrument incorporates the side-effects of the predicate p



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Where we are, sofar

Context: effectus theory and side-effects

Affine monads

Predicates and instruments

Main results

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Strong affineness



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Definition

A (strong) monad T is called **strongly affine** if the following squares are pullbacks

$$\begin{array}{ccc} T(X) \times Y & \xrightarrow{\pi_2} & Y \\ \text{st}_1 \downarrow & & \downarrow \eta_Y \\ T(X \times Y) & \xrightarrow{T(\pi_2)} & T(Y) \end{array}$$



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(Strongly affine implies affine)



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- ▶ (Kenta Cho) The monad \mathcal{D}_\pm is affine **but not** strongly affine
 - $\mathcal{D}_\pm(X)$ contains $\sum_i r_i |x_i\rangle$ with $r_i \in \mathbb{R}$ and $\sum_i r_i = 1$
 - In this monad \mathcal{D}_\pm there is **interference**: positive and negative factors can cancel each other out



Strongly affine monads and instruments



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Theorem (I)

If T is strongly affine, then there is a bijective correspondence

predicates

side-effect-free instruments



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Theorem (I)

If T is strongly affine, then there is a bijective correspondence

$$\frac{\text{predicates}}{\text{side-effect-free instruments}}$$

More precisely, the correspondence is between maps in $\mathcal{Kl}(T)$,

$$\frac{X \xrightarrow{p} 2}{X \xrightarrow{f} X + X \quad \text{with } \nabla \bullet f = id}$$



Relating commutativity



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Theorem (II)

If the monad T is commutative, then instruments commute — giving commutativity in an effectus-theoretic sense.



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More precisely, for predicates $p, q: X \rightarrow 2$ we have:

$$\begin{array}{ccccc} X & \xrightarrow{\text{instr}_p} & X + X & \xrightarrow{q+q} & 2 + 2 \\ \parallel & & & & \cong \downarrow [\kappa_1 + \kappa_1, \kappa_2 + \kappa_2] \\ X & \xrightarrow{\text{instr}_q} & X + X & \xrightarrow{p+p} & 2 + 2 \end{array}$$



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The isomorphism on the right can be illustrated as:

$$\begin{array}{ccccc} 2 + 2 & = & (1 + 1) & + & (1 + 1) \\ & & \downarrow & & \downarrow \\ 2 + 2 & = & (1 + 1) & + & (1 + 1) \end{array}$$



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Final remarks



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- ▶ Quantum theory forms a rich source of inspiration for program semantics and logic — and for coalgebra in particular
- ▶ Recent formalisation in terms of effectuses
 - framework deals with side-effects of observations
 - Boolean and probabilistic computation given by subclasses
- ▶ Characterising the commutative (probabilistic and side-effect-free) fragment is an open challenge
 - Kleisli categories of suitable monads play an important role
- ▶ This CMCS paper clarifies the role of strong affinity and of commutativity of the monad

